

## SFERA-III

Solar Facilities for the European Research Area

1st Summer School “Thermal energy storage systems, solar fields and new cycles for future CSP plants”  
WPI Capacity building and training activities  
Odeillo, France, September 9<sup>th</sup>-11<sup>th</sup> 2019



## “Raytracing software and design tools for heliostats fields”

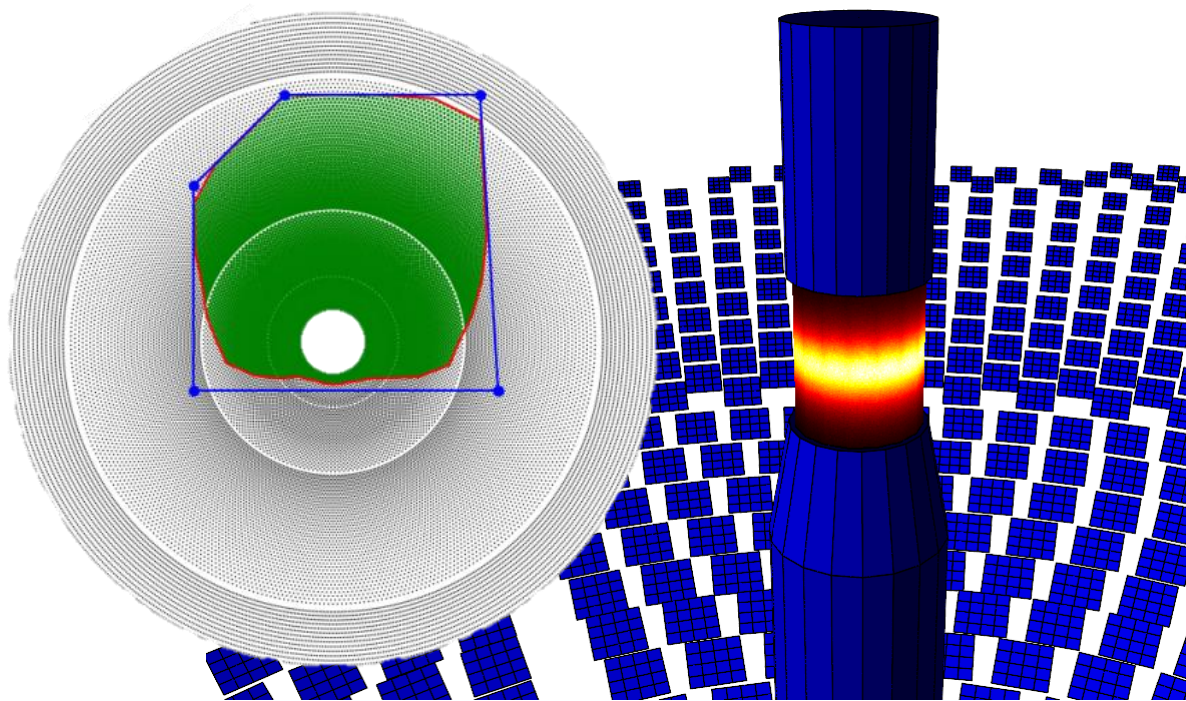
*Shahab Rohani, Fraunhofer Institute for Solar Energy Systems ISE*

NETWORKING



THIS PROJECT HAS RECEIVED FUNDING FROM THE EUROPEAN UNION'S HORIZON 2020 RESEARCH AND INNOVATION PROGRAMME UNDER GRANT AGREEMENT NO **823802**

# RAYTRACING SOFTWARE AND DESIGN TOOLS FOR HELIOSTATS FIELDS



Shahab Rohani, Peter Schöttl

Fraunhofer Institute for Solar Energy Systems ISE

SFERA III Summer School

Odeillo, Sep. 9-11 2019

[www.ise.fraunhofer.de](http://www.ise.fraunhofer.de)

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# AGENDA

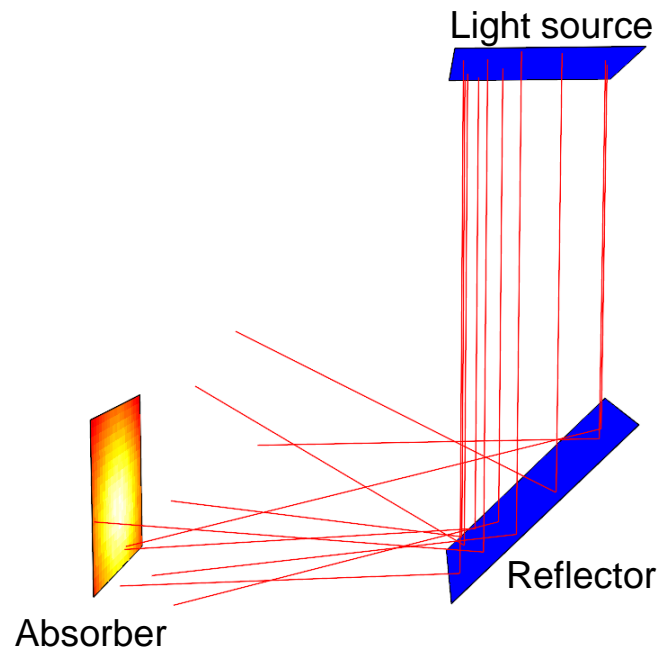
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- Raytrace3D
  - Basics
  - Simulation acceleration
  - Angle-dependent reflectance for soiling modeling
  - Individual heliostat assessment
  - Sky discretization for fast annual assessment
  - Coupling to dynamic receiver simulation
- Heliostat field design/optimization
  - Heliostat field layout algorithms
  - Heliostat selection based on polygon optimization

# Raytrace3D

## Principle

### Monte-Carlo forward ray tracing



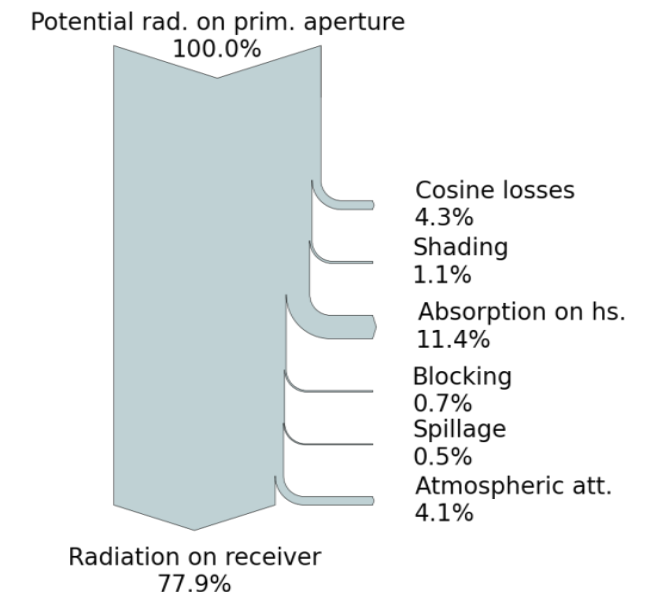
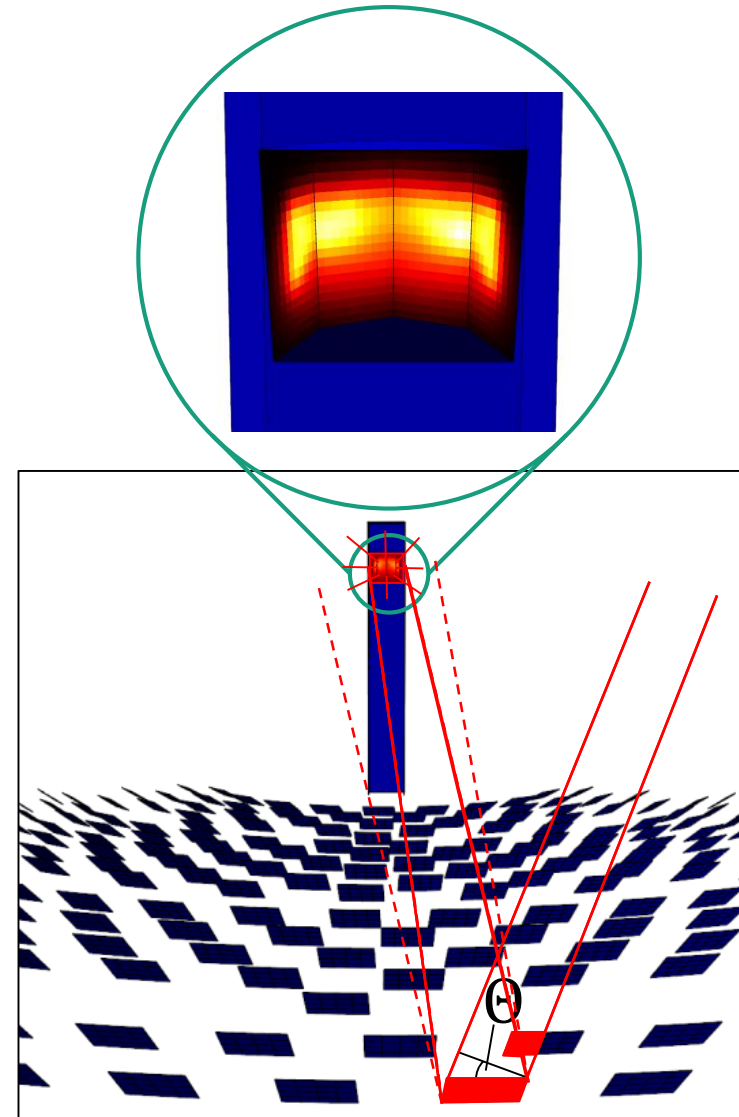
### Features

- Comprehensive library of geometries/materials/light sources  
→ sophisticated modeling of solar applications
- Fully object-oriented  
→ readily extensible
- Number crunching in C++  
+ Pre/Postprocessing in Python  
→ Fast and versatile
- Parallelized  
→ Run on simulation servers

# Raytrace3D

## Heliostat field losses

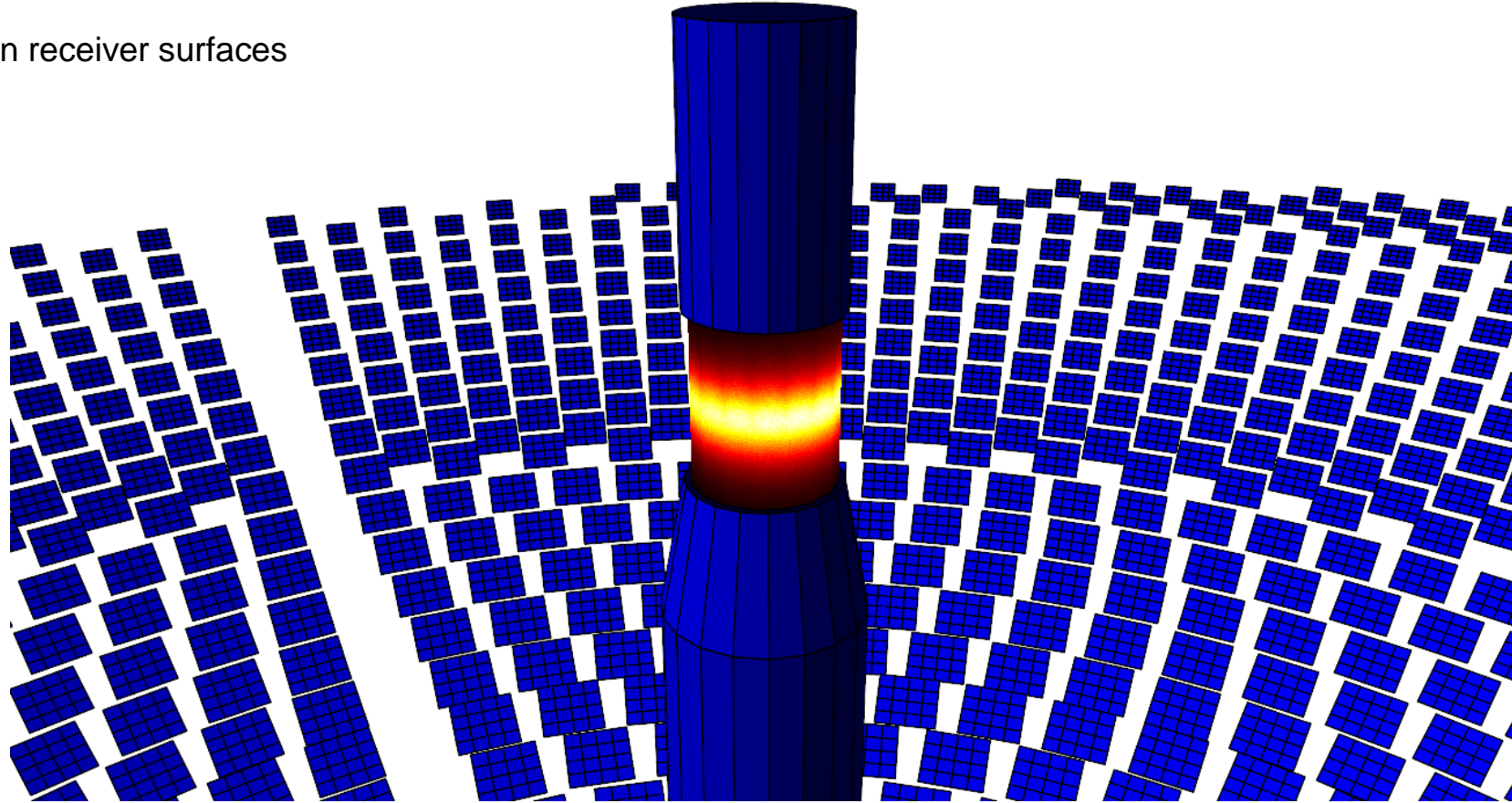
- Monte-Carlo ray tracing:  
Fraunhofer ISE tool *Raytrace3D*
  - Cosine losses
  - Shading
  - Absorption on heliostats
  - Blocking
  - Atmospheric attenuation
  - Spillage
  - Reflection from receiver
- Flux distribution  
on receiver surfaces [1]



# Raytrace3D

## Graphical postprocessing

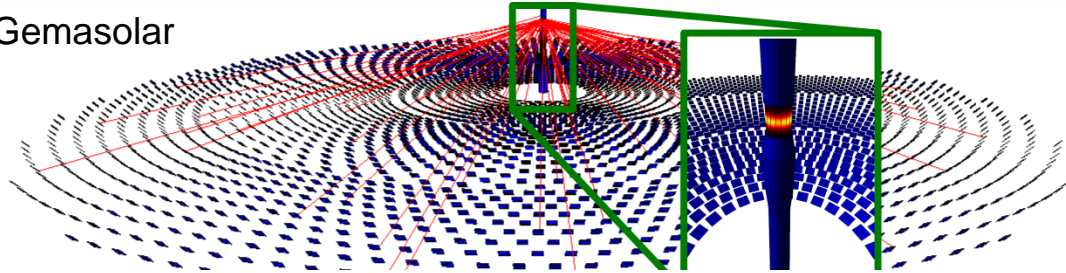
Gemasolar system  
Fluxmaps depicted on receiver surfaces



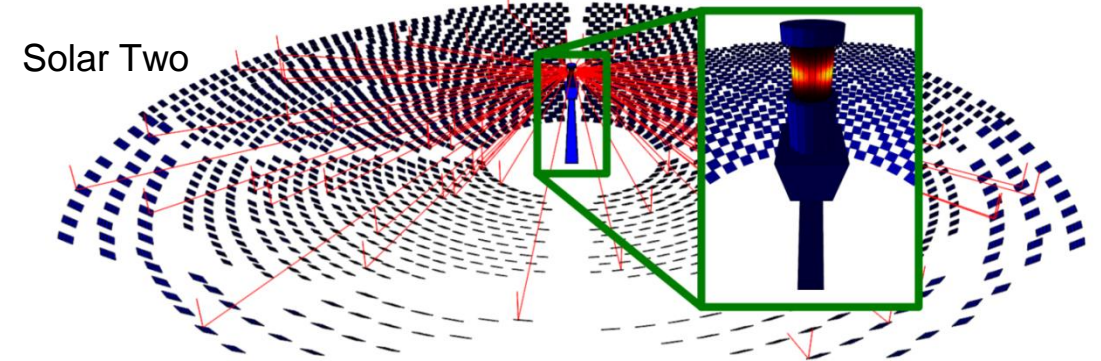
# Raytrace3D

## Simulation of solar towers

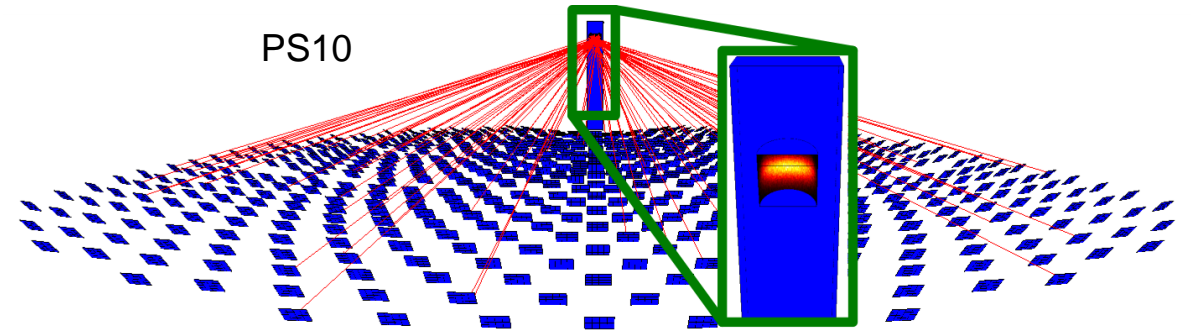
Gemasolar



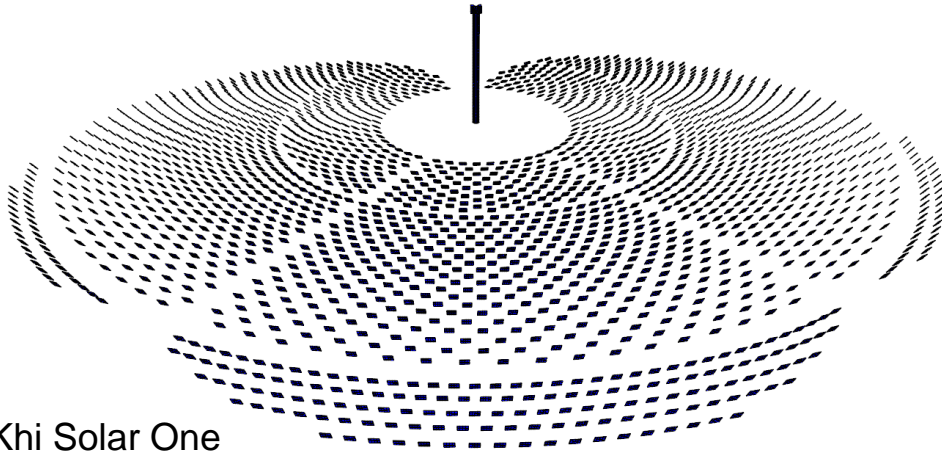
Solar Two



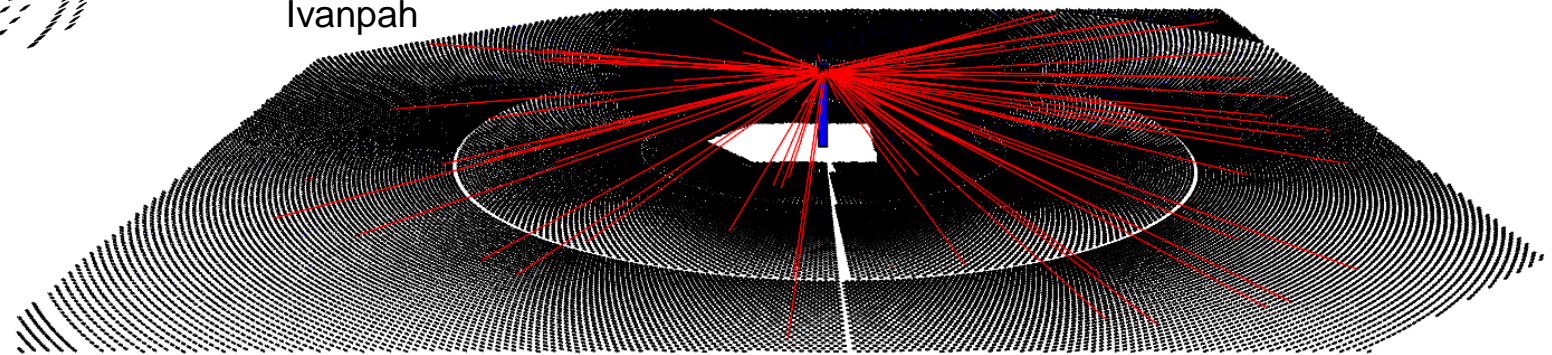
PS10



Khi Solar One



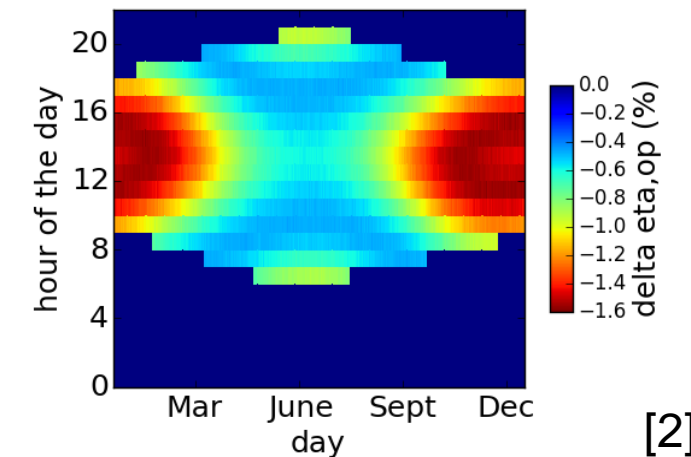
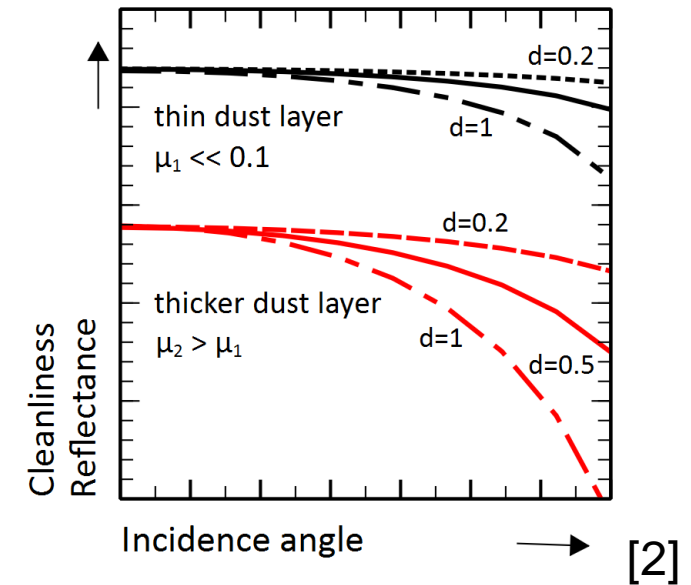
Ivanpah



# Raytrace3D concepts

## Angle-dependent reflectance for soiling modeling

- Clean mirrors → weak incidence angle dependency of reflectance
- Soiled mirrors → strong incidence angle dependency of reflectance
- Raytrace3D: incidence angle dependent reduction of reflectance
- Reduction of solar yield
- Improved yield prediction
- Optimization of cleaning cycles

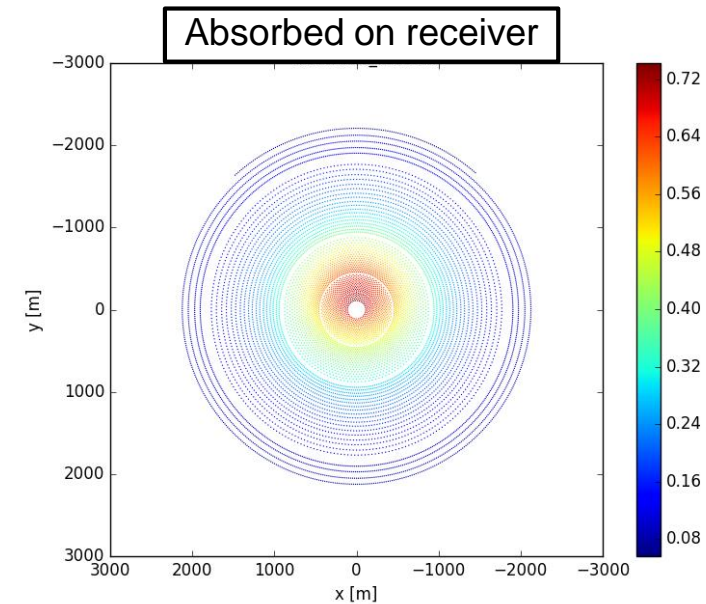
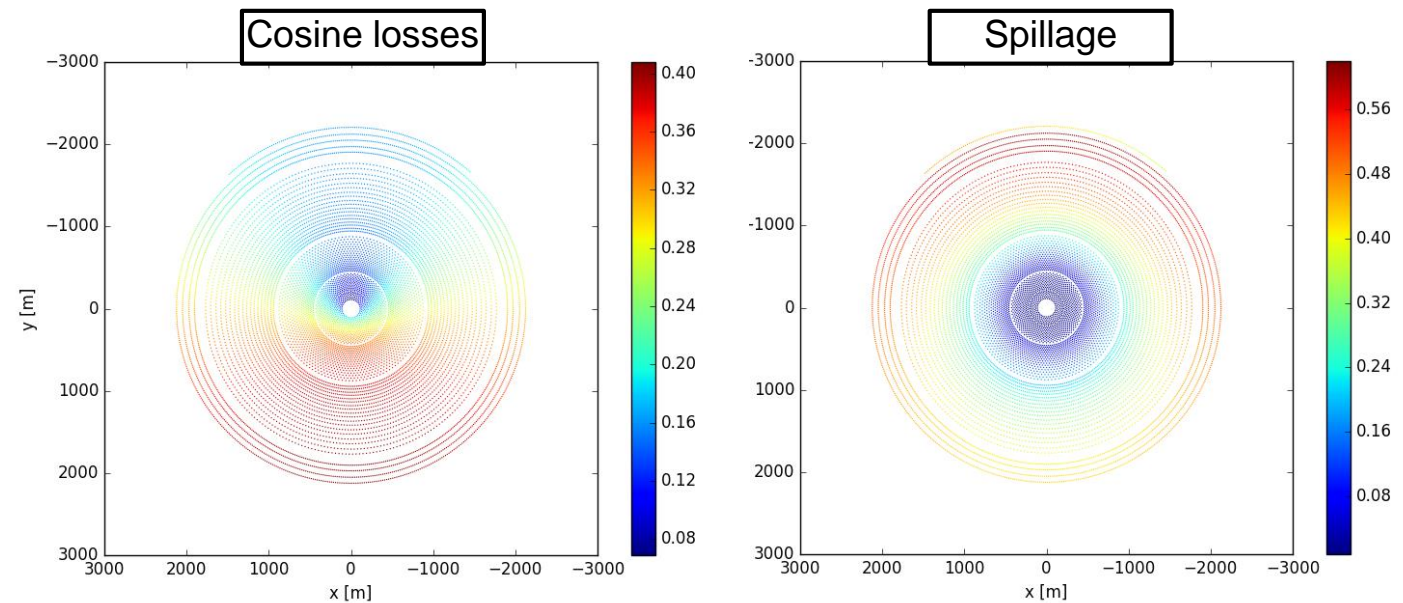




# Raytrace3D concepts

## Individual heliostat assessment

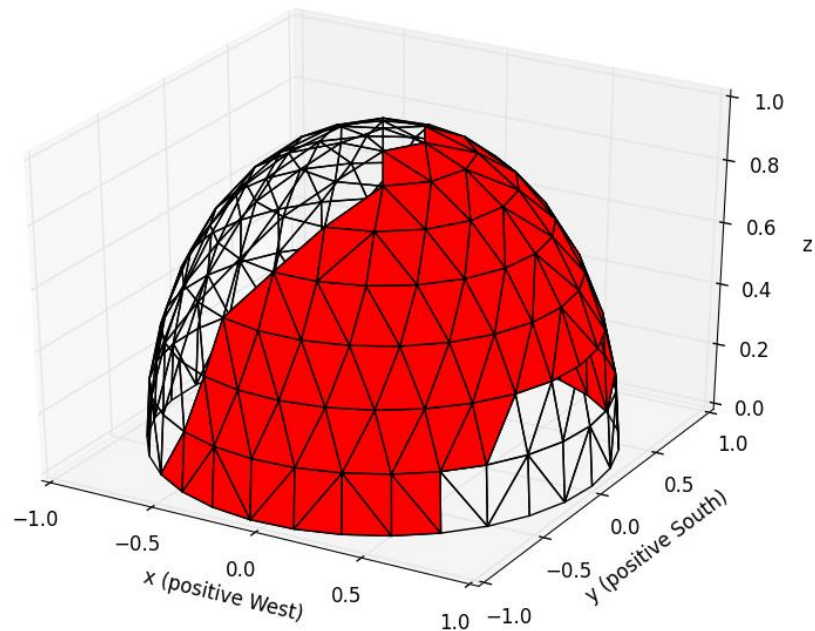
- Built-in routine for evaluating ray history
  - Per-unit assessment of primary aperture (heliostats)
  - Evaluation of different loss mechanisms (cos shading, ...)
  - (Optional) integration of secondary concentrator
- Full insight in heliostat field loss mechanisms
- Input for field design



# Raytrace3D concepts

## Sky discretization for fast annual assessment [2,3]

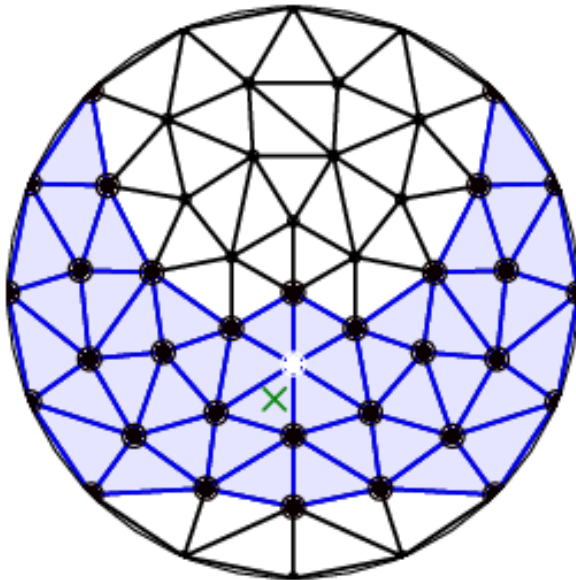
- Uniform discretization of the sky hemisphere



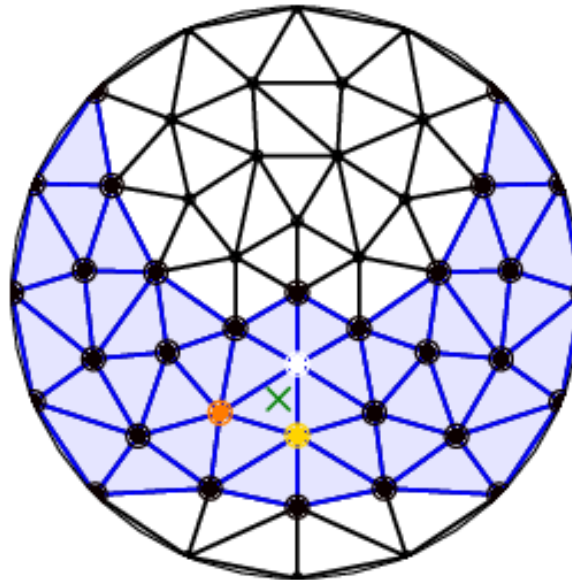
# Raytrace3D concepts

## Sky discretization for fast annual assessment [2,3]

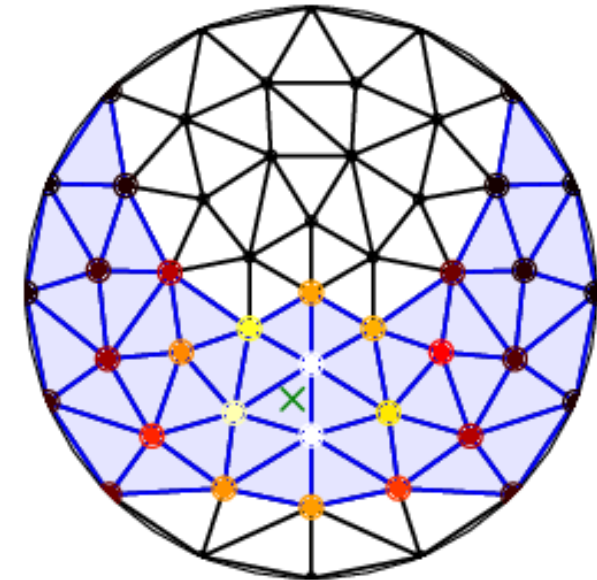
Nearest neighbor



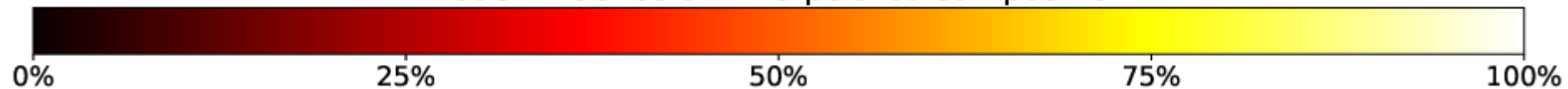
Spherical barycentric



Radial basis function network



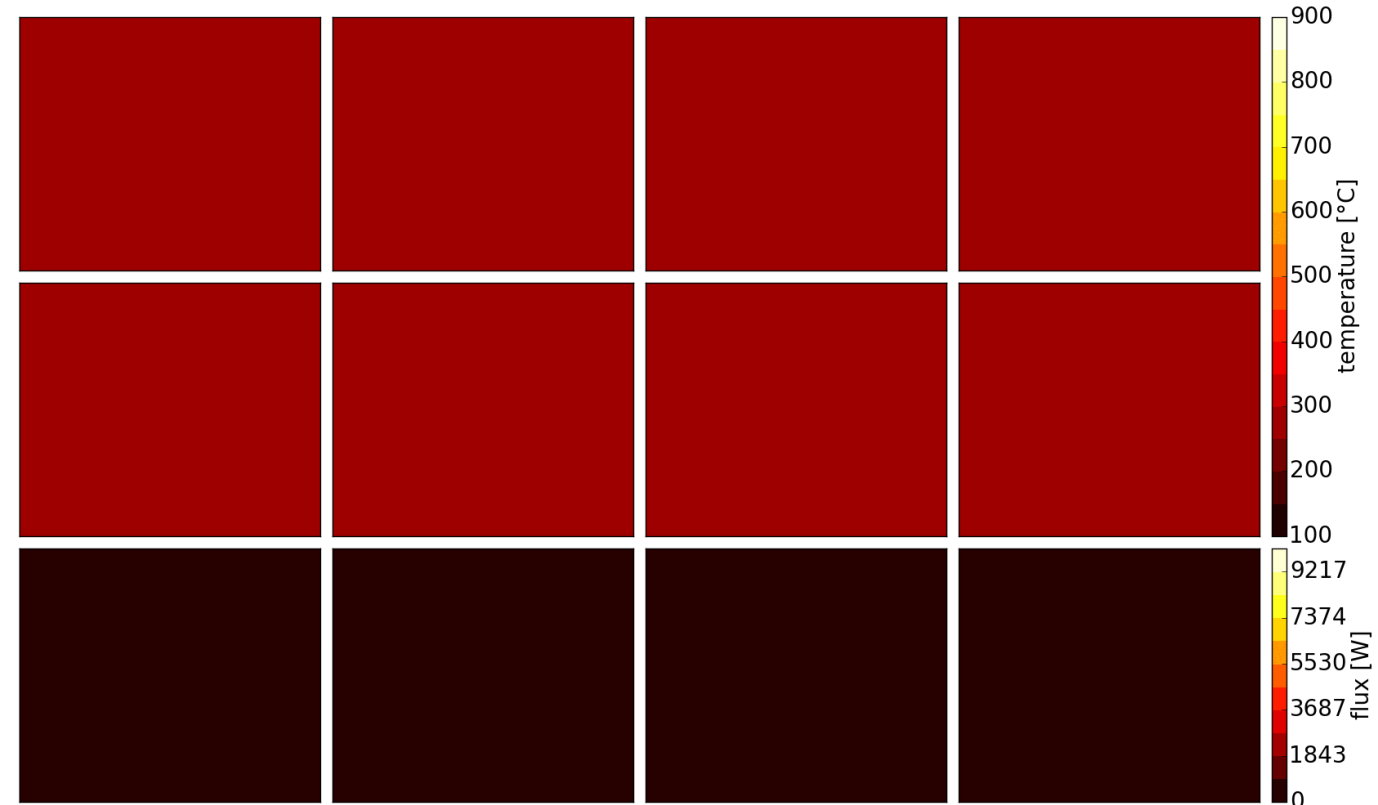
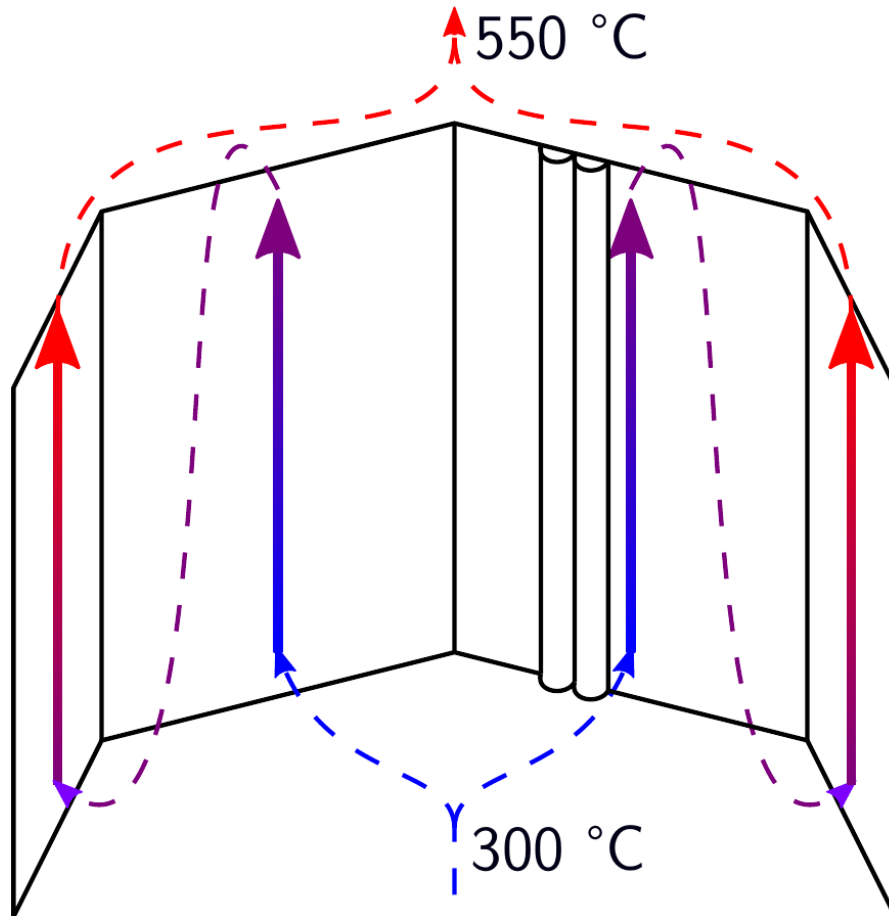
Node influence on interpolated sun position



# Raytrace3D concepts

## Coupling to dynamic receiver simulation

time: 2010-06-20 06:10:00



Top row: Temperature distribution [°C] in the fluid  
Center row: Temperature distribution [°C] on the panel surface  
Bottom row: Flux distribution [W] on the panel surface

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# AGENDA

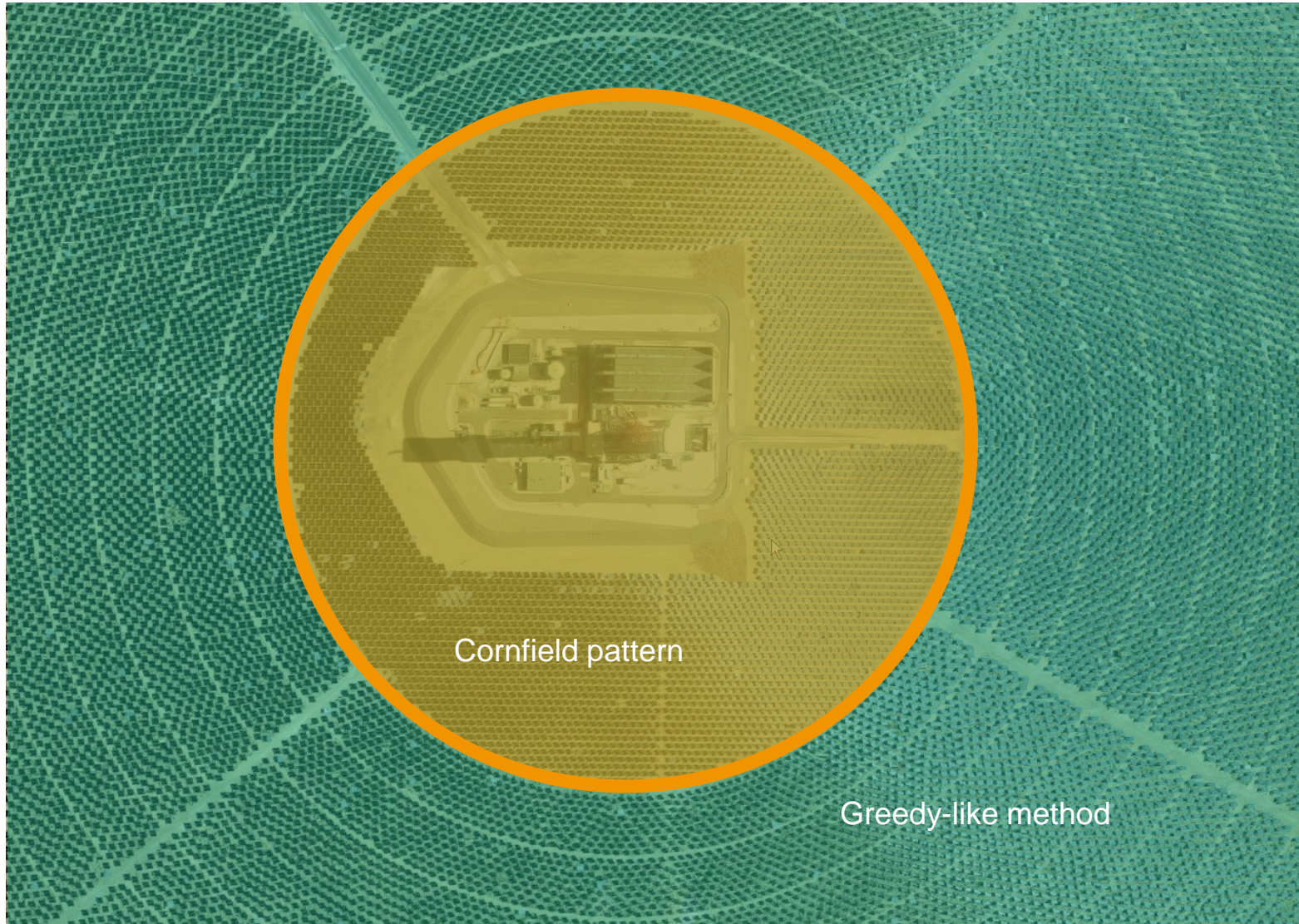
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  - Heliostat field layout algorithms
  - Heliostat selection based on polygon optimization

# Heliostat field design/optimization

## Patterns-based algorithms

- Layout algorithms based on underlying pattern
- Base cases: radially staggered vs. cornfield
- Several free parameters
- Advantages:
  - Fast creation of large fields
  - Construction and maintenance easier in a regular layout
- Disadvantage:
  - Difficult to adapt to uneven terrain

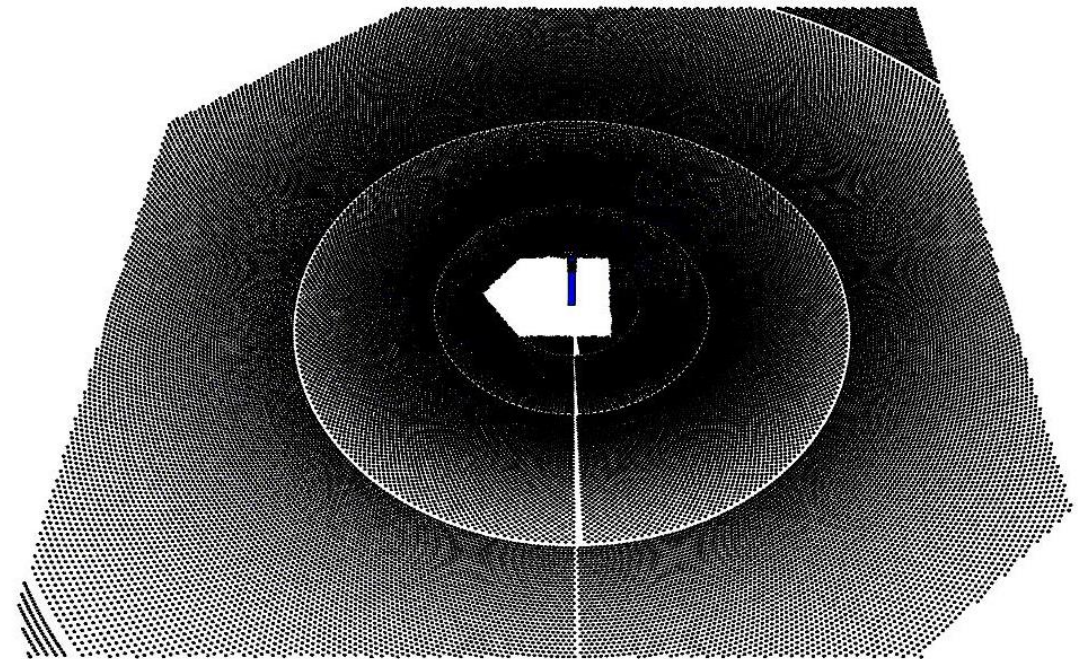


Part of Ivanpah field (source: Google Maps)

# Heliostat field design/optimization

## MUEEN layout

- Aim: no blocking
- Radially staggered
- Re-grouping for denser field
- Original algorithm [6] extended by Fraunhofer ISE [5]



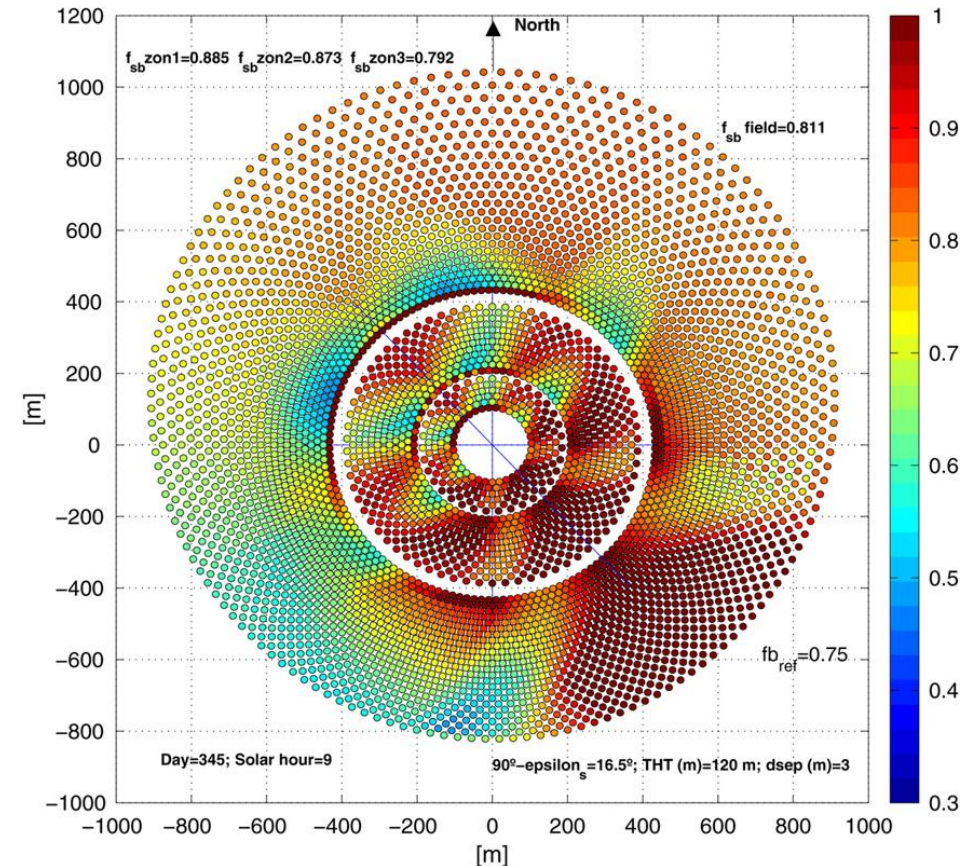
Re-modeling of Ivanpah heliostat field with *Fraunhofer ISE MUEEN* algorithm and field boundaries



# Heliostat field design/optimization

## CAMPO layout [7]

- Radially staggered
- Creation of densest possible field
- Azimuthal and radial stretching (local!) to reduce shading and blocking

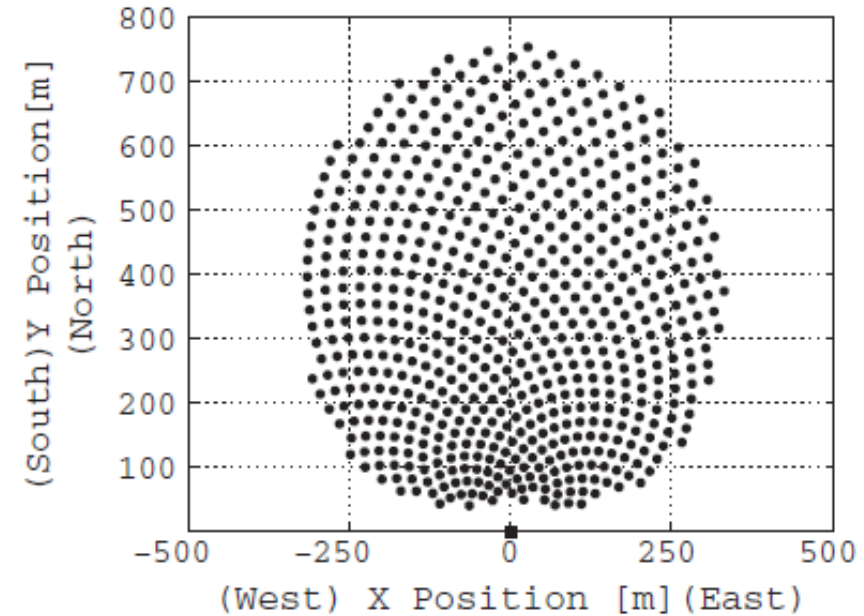


Field generated with CAMPO algorithm (plot from [7])

# Heliostat field design/optimization

## Biomimetic layout [8]

- Biomimetic phylotaxis disc pattern  
→ sunflower
- Angular distribution is related to the golden ratio  $(1 + \sqrt{5})/2$
- Optimization of free parameter



Field generated with biomimetic algorithm (plot from [8])

# Heliostat field design/optimization

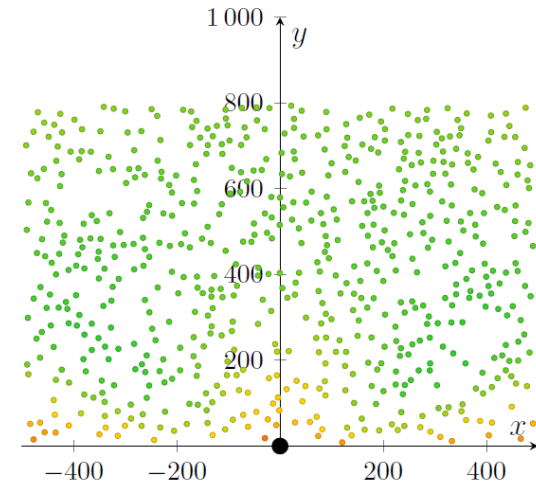
## Pattern-free algorithms

- No underlying pattern
- Heliostat placement based on some heuristic
- Advantages:
  - Easily applicable to uneven terrain
- Disadvantage:
  - Field creation very complicated and computationally intensive

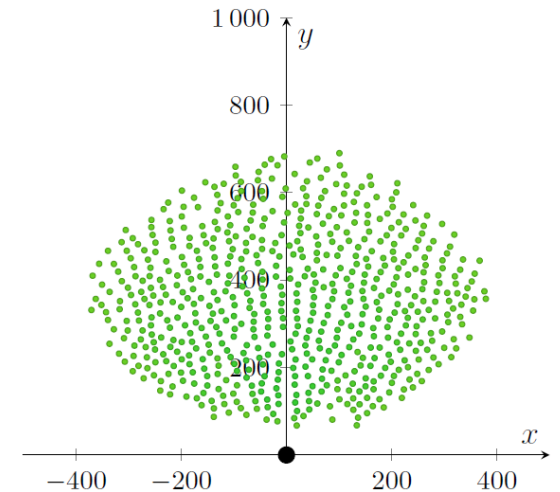
# Heliostat field design/optimization

## Genetic algorithm [9]

- Random generation of initial heliostat base points
- Genetic algorithm (cross-over, mutation, selection) to optimize field



Initial configuration



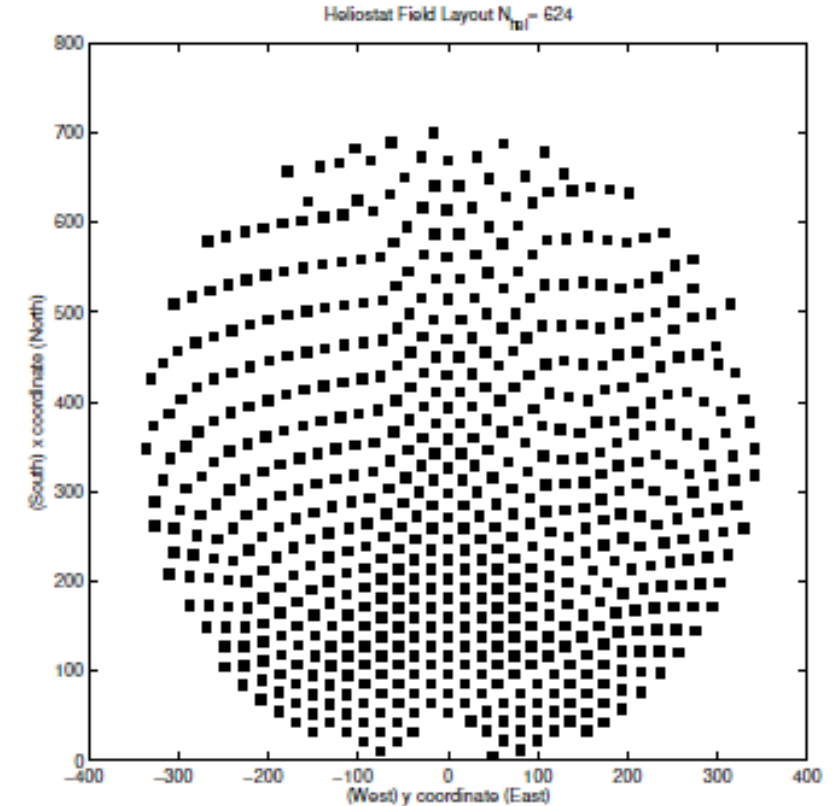
After 100 optimization steps

Field optimization with genetic algorithm (plot from presentation related to [9])

# Heliostat field design/optimization

## Greedy algorithm [10]

- Iterative growth of the heliostat field
- Every new heliostat is placed at the currently best position in the available area
- Different implementations available



Field optimization with greedy algorithm (plot from [10])

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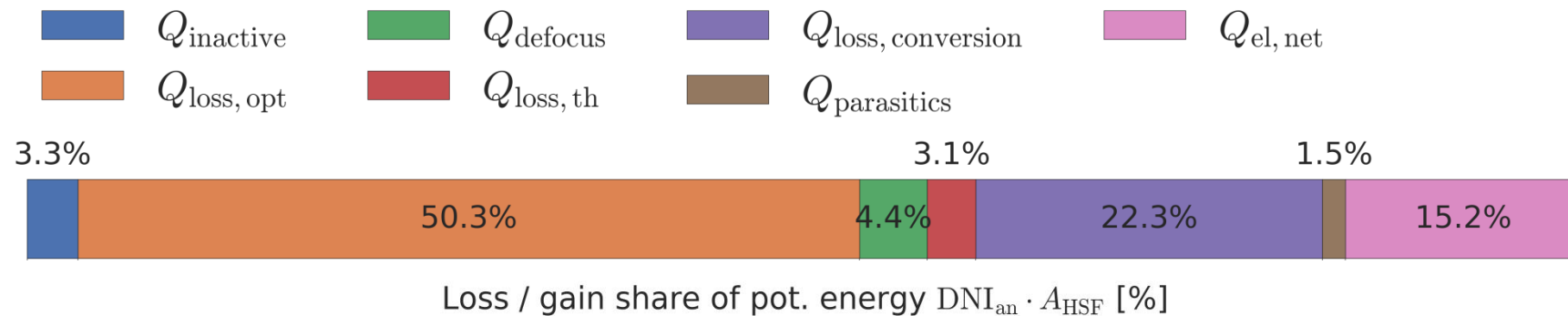
# HELIOSTAT SELECTION BASED ON POLYGON OPTIMIZATION

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- Motivation
- Problem Description
- Methodology
- Application
- Summary & Outlook

# Motivation

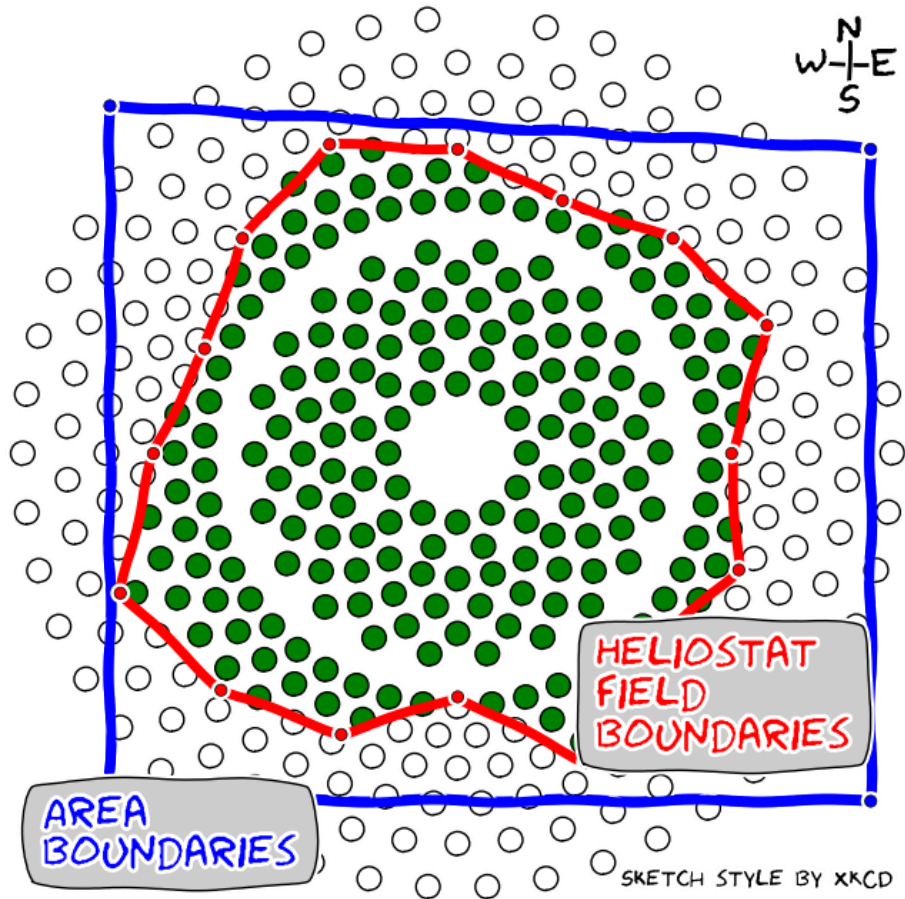
- Heliostat field represents about 40% of CAPEX of entire plant [1]
- Typical loss composition for a 600 MW<sub>th</sub> Solar Tower plant [3]



- Field design for **high annual efficiency** and **low cost** is crucial

# Heliostat selection based on polygon optimization

## Problem description: Heliostat Selection from Oversized Field



- Respect area boundaries
- Meet flux requirements
- Optimize for given objective function
- Coherent field, feasible w.r.t. construction and maintenance



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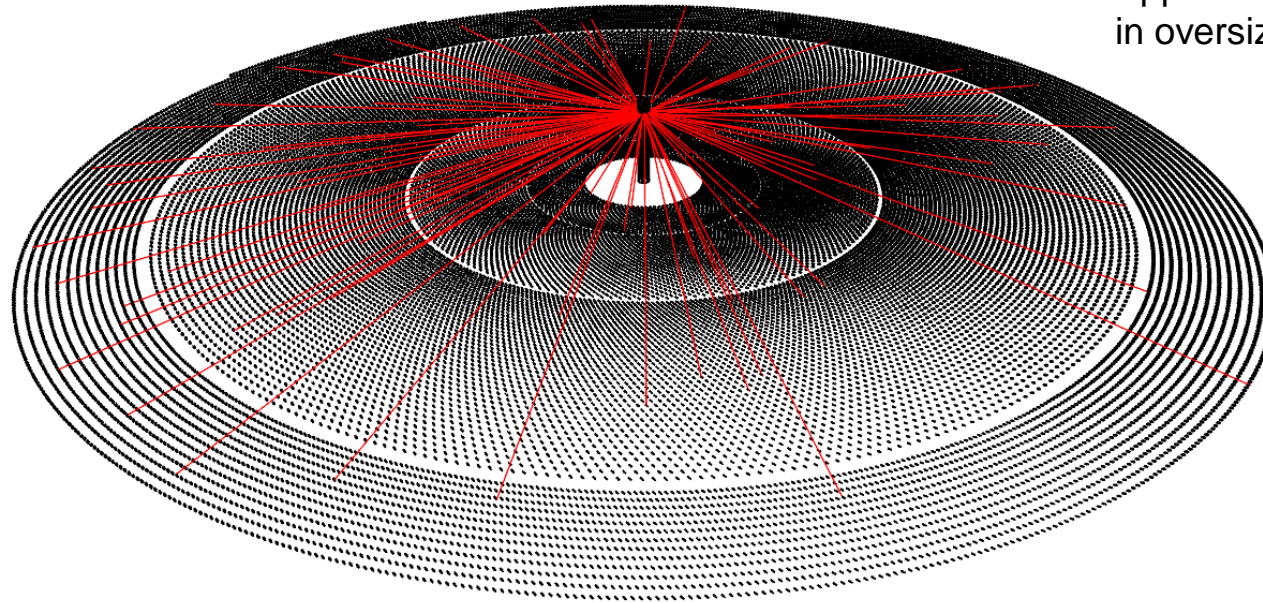
# HELIOSTAT SELECTION BASED ON POLYGON OPTIMIZATION

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- Problem Description
- Methodology
  - Oversized Field
  - Polygon-Based Selection
  - Area Boundaries
  - Evolutionary Optimization Algorithm
- Application
- Summary & Outlook

# Methodology

## Oversized Field



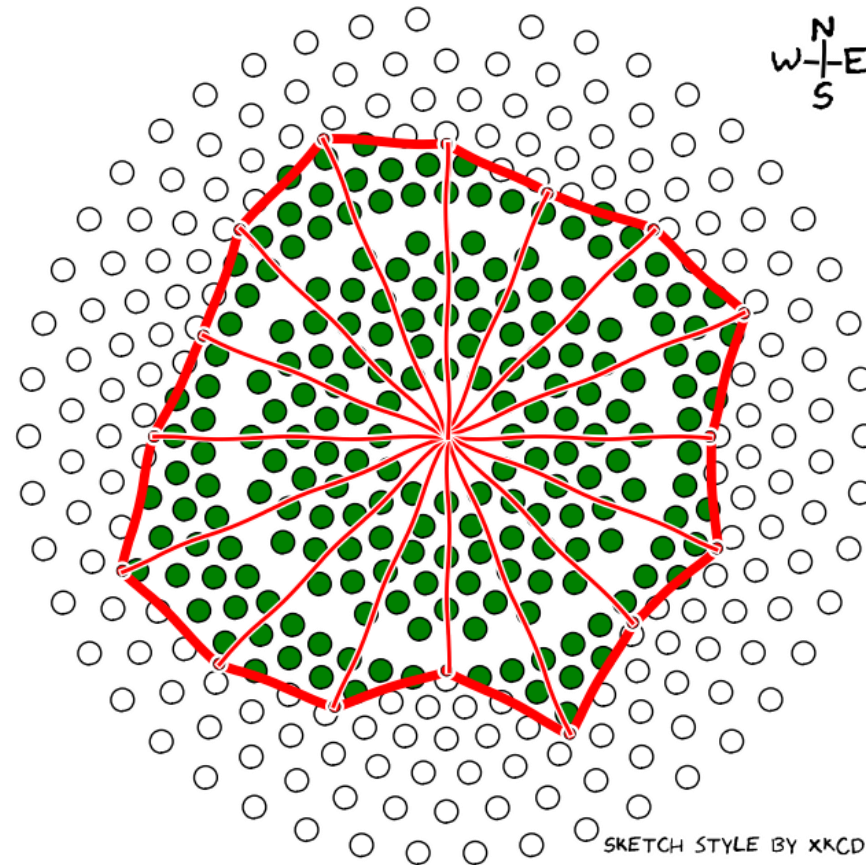
Approx. 35k heliostats  
in oversized field

- Generation with **extended MUEEN algorithm [4]**
- Assessment with **Raytrace3D [5]**

# Methodology

## Polygon-Based Selection

- Equi-angular vertices
- Centered around tower base
- Only vertex radii as free parameters in optimization
- Coherent field boundaries
- Evaluation of objective function on entire field
- For polar field, limit angular range



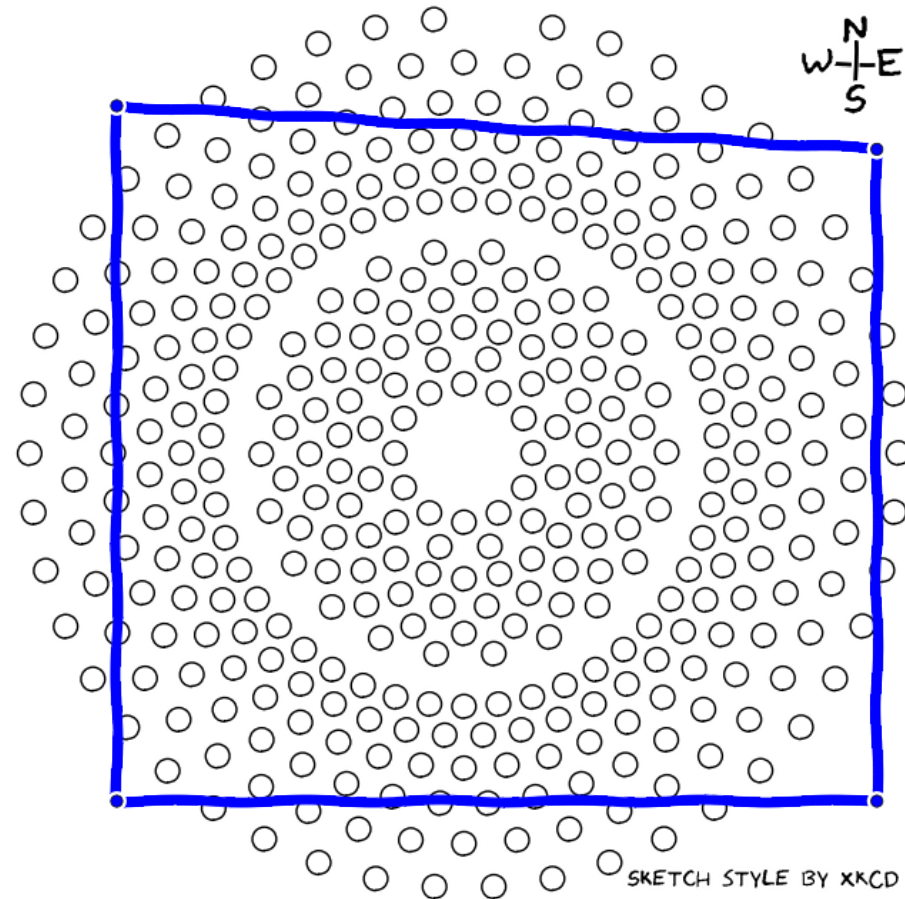
# Methodology

## Area Boundaries

- Yet another polygon
- Move relative to tower base
- Two additional degrees of freedom:  $\Delta x$ ,  $\Delta y$

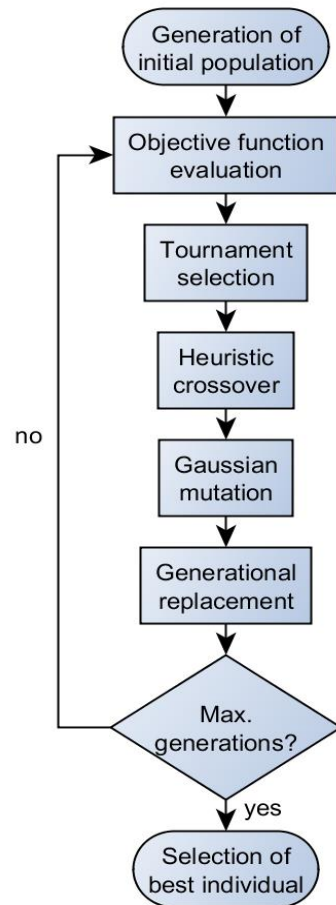
### Area boundaries are

- ~~Large, not constraining~~
- Large enough, constraining
- ~~Too small~~



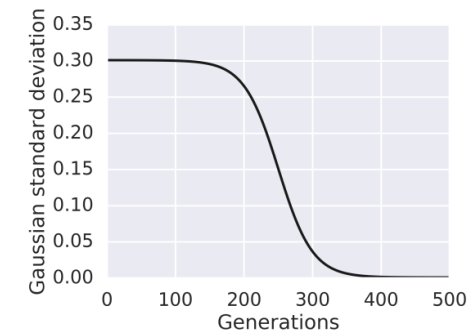
# Methodology

## Evolutionary Optimization Algorithm



### Problem-specific tweaks

- Penalty on not reaching required flux at design point
- Mutation range decreases with sigmoid function



- Small tournament size of 3
- Full generational replacement, no elitism
- low selection pressure, no premature convergence

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# HELIOSTAT SELECTION BASED ON POLYGON OPTIMIZATION

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- Problem Description
- Methodology
- Application
  - Base Scenario
  - Objective Function
  - Examples
- Summary & Outlook

# Application

## Base Scenario

Parameter	Value [6]
Site	Seville, Spain
Absorbed power at design point	55.27 MW
Tower height	100.5 m
External receiver diameter	14 m
External receiver height	12 m
Number of heliostats in oversized field	35000
Heliostat area (square)	8 m <sup>2</sup>
Minimum radial heliostat distance to tower	80 m
Design point	Winter solstice
Design DNI	850 W/m <sup>2</sup>

# Application

## Objective function

Objective function maximizes yield per cost [6]:

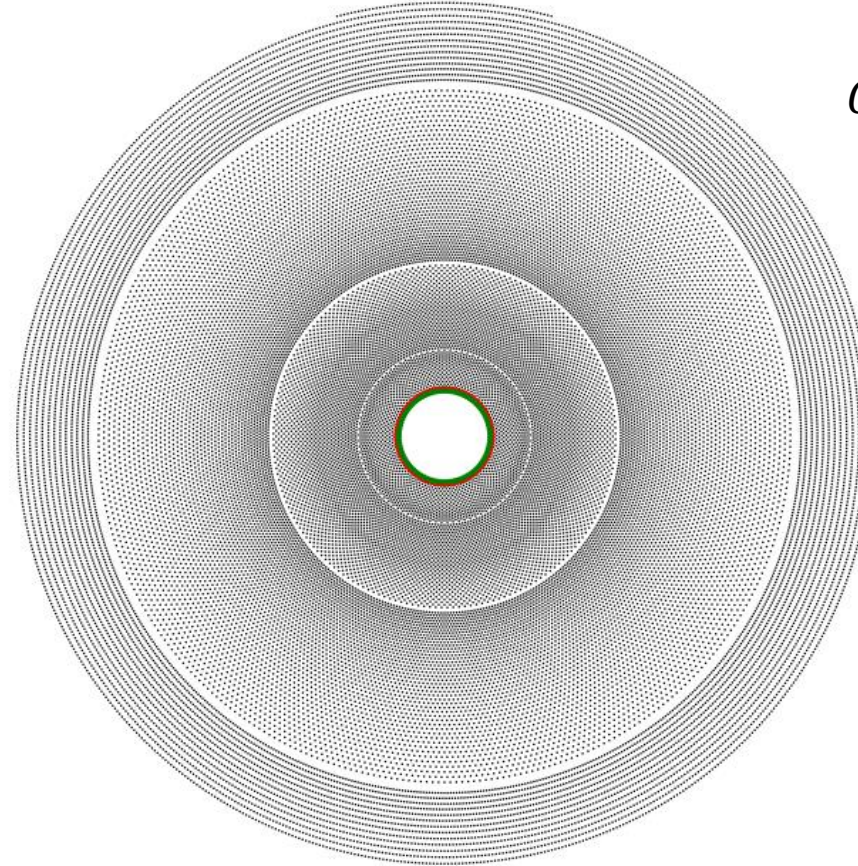
- annual optical efficiency  $\eta_{an}$  of the entire field
- ground area  $A_{ground}$  being the convex hull of all heliostats
- cumulative mirror area  $A_{HSF}$  of all heliostats
- cost ratio  $k = \frac{k_{ground}}{k_{HSF}}$  of ground area to mirror area
- Cumulative annual direct normal irradiance  $DNI_{an}$



# Application

## No Area Boundaries, Cost Ratio $k=0\%$

Generation 0



$$OF = \frac{\eta_{an}}{k \cdot \frac{A_{ground}}{A_{HSF}} + 1} = \eta_{an}$$

Animations showing best candidate every ten generations

# Application

## No Area Boundaries, Cost Ratio $k=0\%$

$$OF = \eta_{an}$$

Generation 0

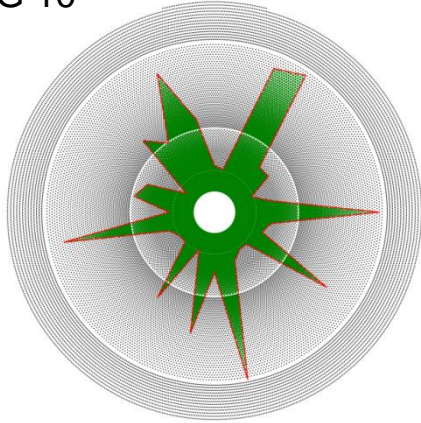


# Application

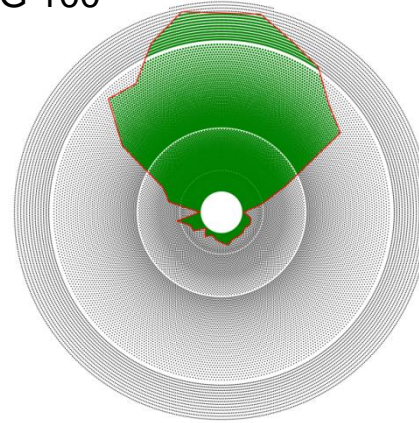
## No Area Boundaries, Cost Ratio $k=0\%$

$$OF = \eta_{an}$$

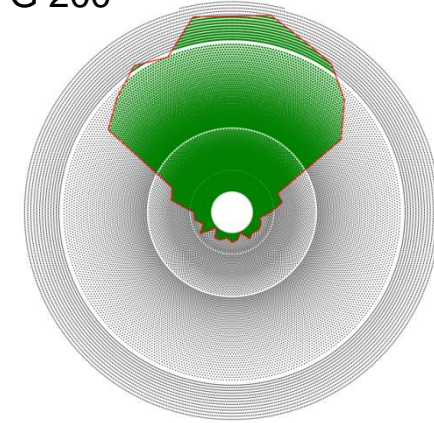
G 10



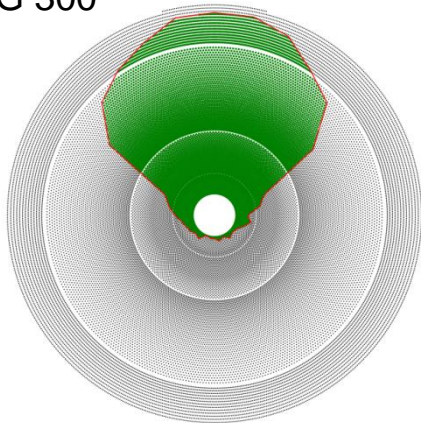
G 100



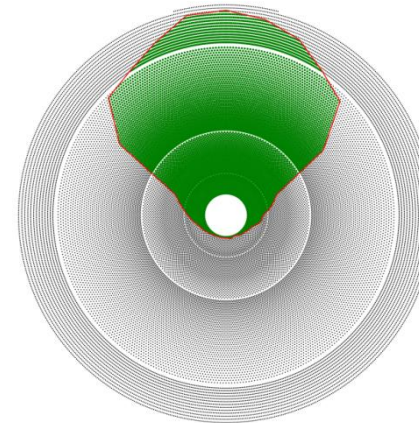
G 200



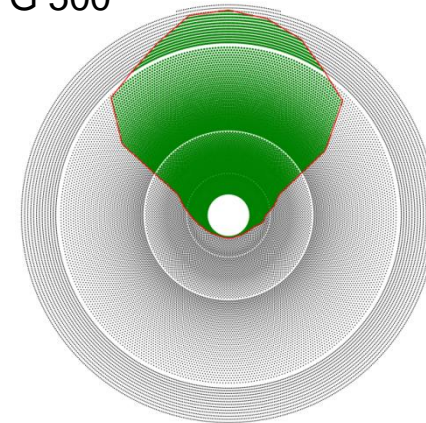
G 300



G 400



G 500

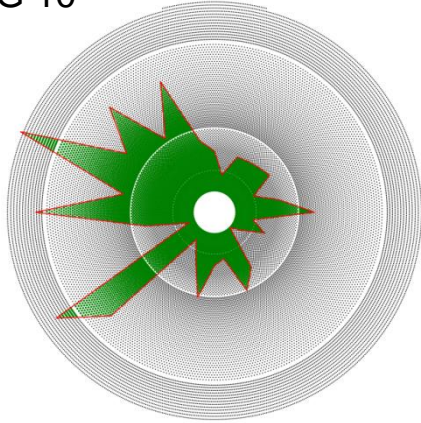


# Application

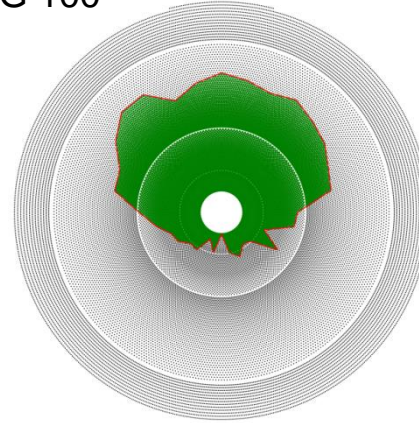
## No Area Boundaries, Cost Ratio $k=4\%$

$$OF = \frac{\eta_{an}}{k \cdot \frac{A_{ground}}{A_{HSF}} + 1}$$

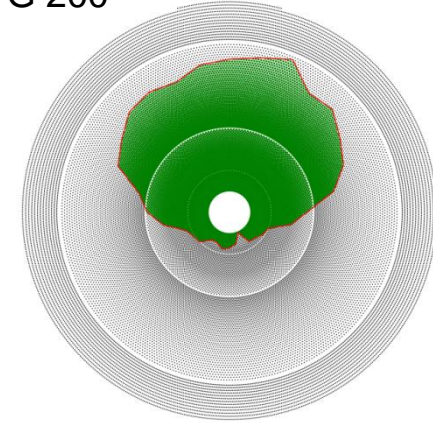
G 10



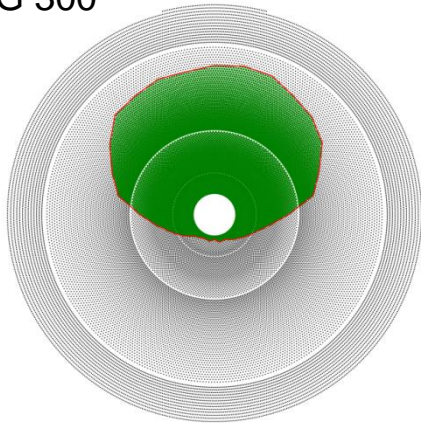
G 100



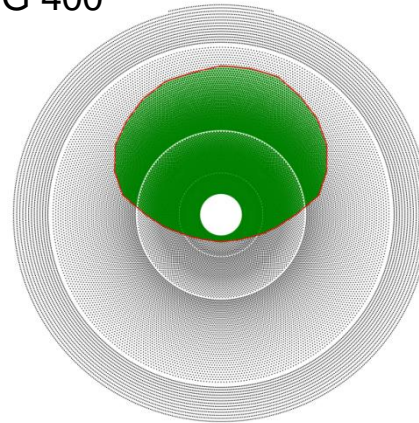
G 200



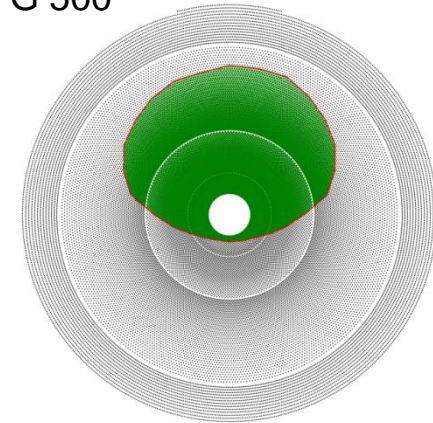
G 300



G 400



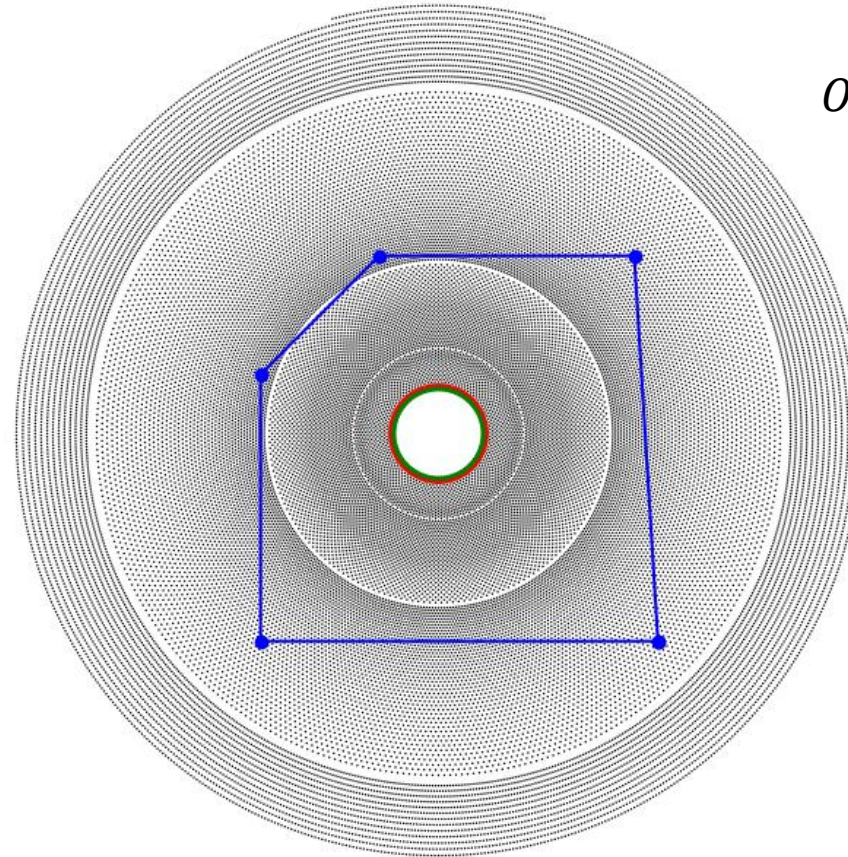
G 500



# Application

## Complex Area Constraints, Cost Ratio $k=0\%$

Generation 0

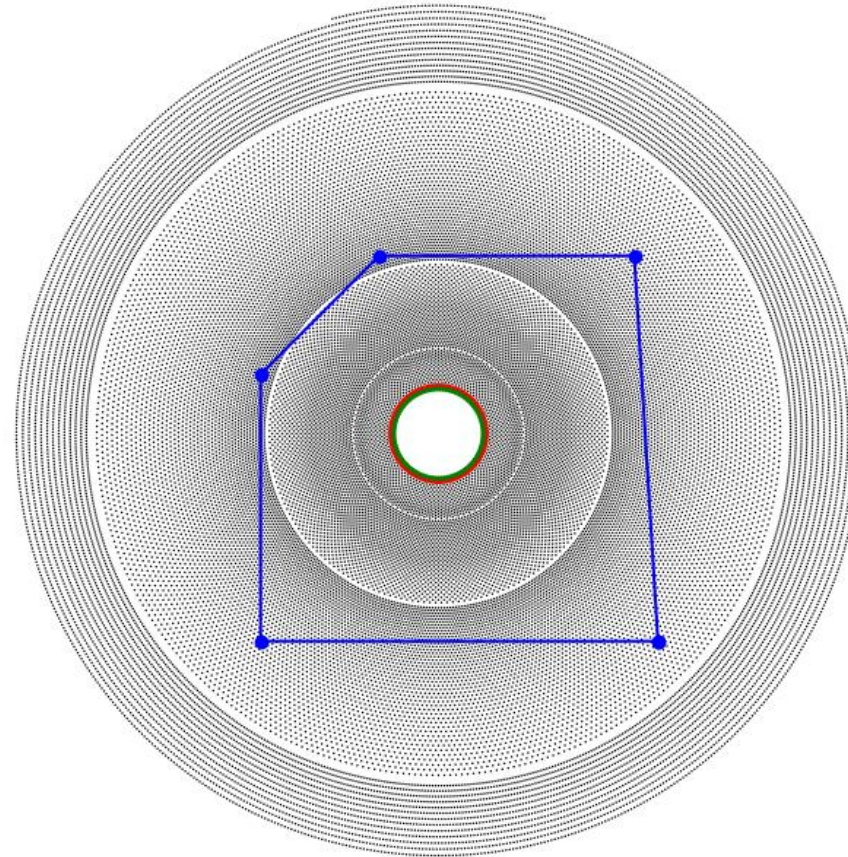


$$OF = \frac{\eta_{an}}{k \cdot \frac{A_{ground}}{A_{HSF}} + 1} = \eta_{an}$$

# Application

## Complex Area Constraints, Cost Ratio $k=0\%$

Generation 0



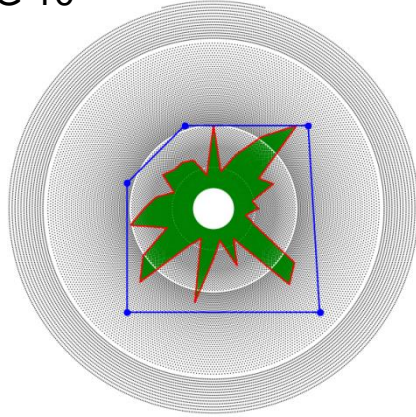
$$OF = \eta_{an}$$

# Application

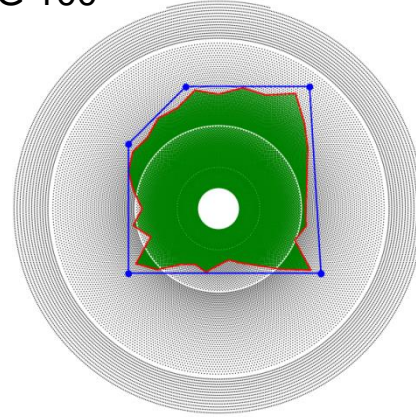
## Complex Area Constraints, Cost Ratio $k=0\%$

$$OF = \eta_{an}$$

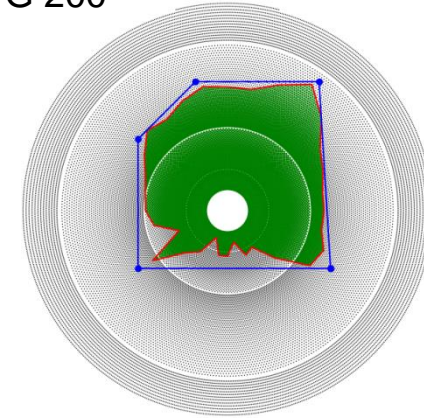
G 10



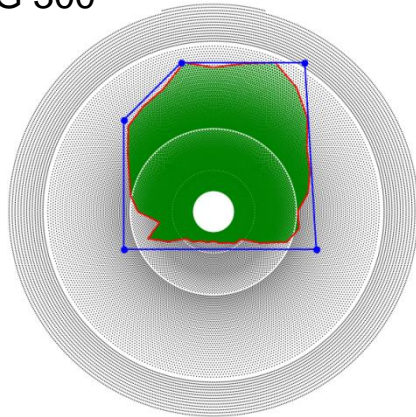
G 100



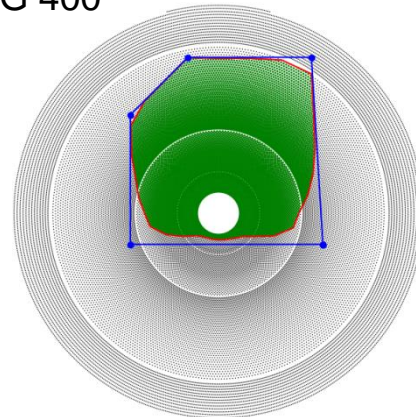
G 200



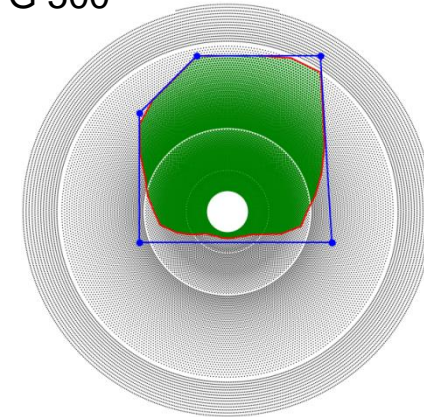
G 300



G 400

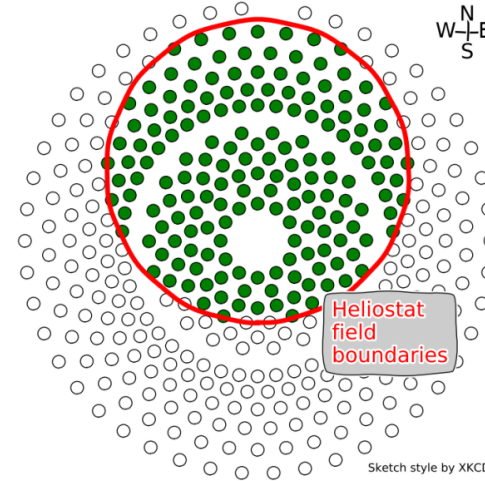


G 500



# Summary & Outlook

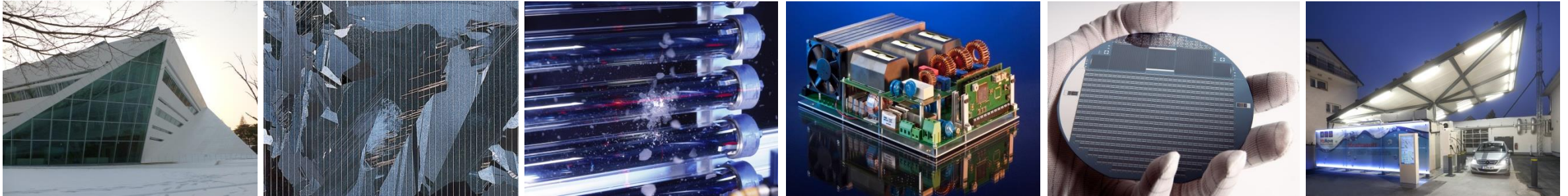
- Method: solar field heliostat selection based on polygon optimization and boundaries
  - Coherent fields
  - Area boundaries
  - Flexible objective function
- 
- Quantitative comparison to other approaches
  - Allowable flux limits in objective function
  - Area boundaries with undercuts, holes and hilly terrain



Ashalim Power Station, BrightSource Industries Israel (source: <https://inhabitat.com/>)



# Thank you for your Attention!



Fraunhofer Institute for Solar Energy Systems ISE

Shahab Rohani, Peter Schöttl

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[shahab.rohani@ise.fraunhofer.de](mailto:shahab.rohani@ise.fraunhofer.de)

[peter.schoettl@ise.fraunhofer.de](mailto:peter.schoettl@ise.fraunhofer.de)

# CASE STUDY

## surrounding versus north fields

Heliostats fields, understanding the influence of latitude

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# AGENDA

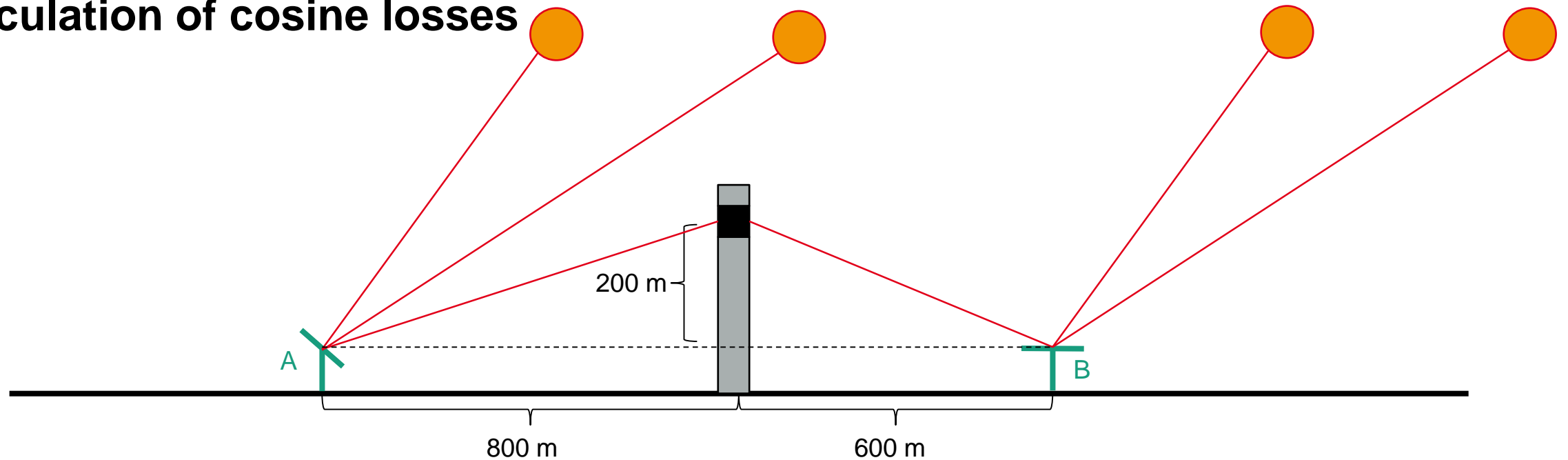
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- Interactive
  - Summer/winter solstice sun position
  - Calculation of cosine losses
- Latitude effects on surround/polar heliostat fields
  - Reference scenarios
  - Methodology recap
  - Result discussion

# Summer/winter solstice sun position

- Location: Odeillo, France
- [www.suncalc.org](http://www.suncalc.org)
- Summer (S) solstice: solar zenith  $\theta_{s,S} = 19.1^\circ$ , solar elevation  $\alpha_{s,S} = 70.9^\circ$
- Winter (W) solstice: solar zenith  $\theta_{s,W} = 65.9^\circ$ , solar elevation  $\alpha_{s,S} = 24.1^\circ$

# Calculation of cosine losses

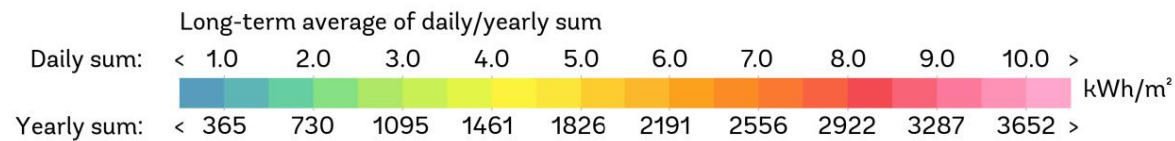
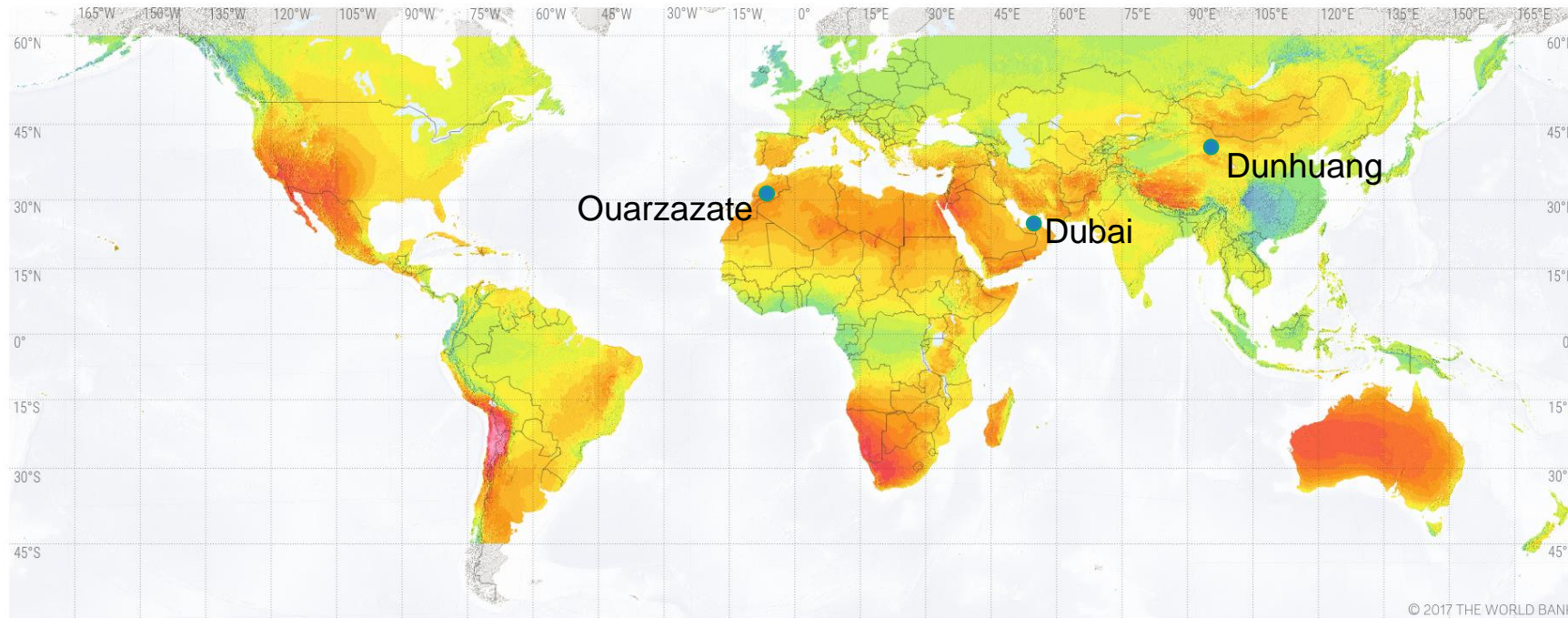


- Heliostat-tower angles:  $\beta_A = \tan^{-1} \frac{200}{800} = 14.0^\circ$ ,  $\beta_B = \tan^{-1} \frac{200}{600} = 18.4^\circ$
- Summer solstice:  $\theta_{inc,A} = \frac{|\alpha_{s,S} - \beta_A|}{2} = \frac{|70.9^\circ - 14.0^\circ|}{2} = 28.5^\circ$ ,  $\theta_{inc,B} = \frac{|180^\circ - \alpha_{s,S} - \beta_B|}{2} = 45.4^\circ$   
Cosine losses:  $1 - \cos \theta_{inc,A} = 0.12$ ,  $1 - \cos \theta_{inc,B} = 0.30$
- Winter solstice:  $\theta_{inc,A} = 5.1^\circ$ ,  $\theta_{inc,B} = 68.8^\circ$   
Cosine losses:  $1 - \cos \theta_{inc,A} = 0$ ,  $1 - \cos \theta_{inc,B} = 0.64$

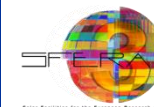
# Reference scenarios

## Sites

### SOLAR RESOURCE MAP DIRECT NORMAL IRRADIATION



This map is published by the World Bank Group, funded by ESMAP, and prepared by Solargis. For more information and terms of use, please visit <http://globalsolaratlas.info>.



# Reference scenarios

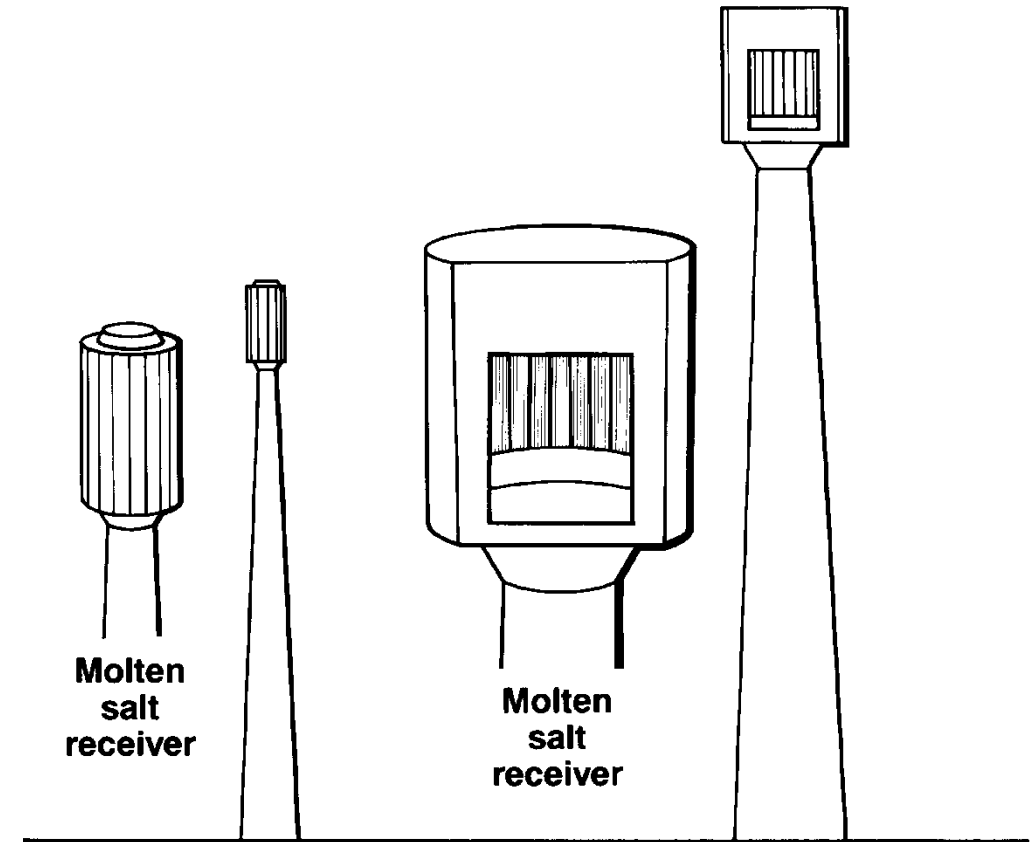
## Parameters

	Dubai	Ouarzazate	Dunhuang
Location	24.8 °N, 55.4 °E	31.0 °N, 6.9 °W	39.8 °, 92.7 °E
Annual DNI	2.15 MWh/m <sup>2</sup> a	2.92 MWh/m <sup>2</sup> a	2.13 MWh/m <sup>2</sup> a
Design point DNI	800 W/m <sup>2</sup> at summer solstice		
Tower height	140 m		
Receiver design power	120 MW <sub>th</sub>		
Receiver absorber area	521.5 m <sup>2</sup> (cavity), 260.8 m <sup>2</sup> (external)		
Heliostat mirror area	115.7 m <sup>2</sup>		
Heliostat beam quality	3 mrad		
Heliostat reflectance	93%		

# Reference scenarios

## External vs cavity

- Cavities combined with higher towers than external receivers
  - ignored
- Cavities larger than external receivers
  - $A_{abs,cavity} = A_{abs,external} \cdot 2$
  - Higher costs!



Source: P. K. Falcone, *A HANDBOOK FOR SOLAR CENTRAL RECEIVER DESIGN*. SAND-86-8009. Livermore, CA (USA), 1986.



# Methodology recap

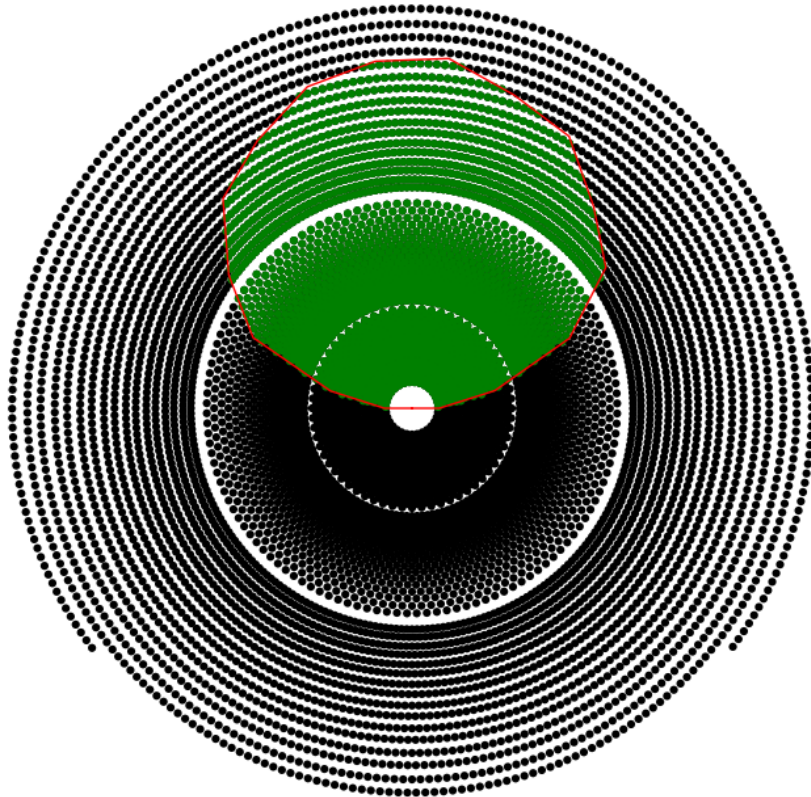
1. Create oversized MUEEN field
2. Assess heliostat annual efficiencies with Raytrace3D
3. Assess heliostat design point efficiencies with Raytrace3D
4. Select best-performing heliostats with polygon-based approach

# Result discussion

## Dubai: selected fields

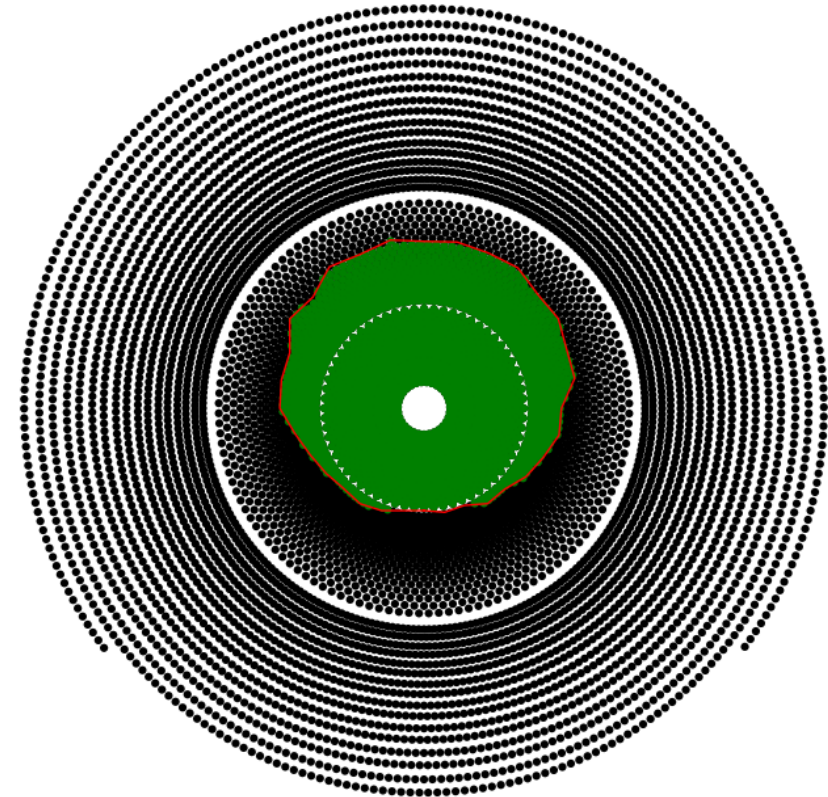
Cavity

Generation 500



External

Generation 500

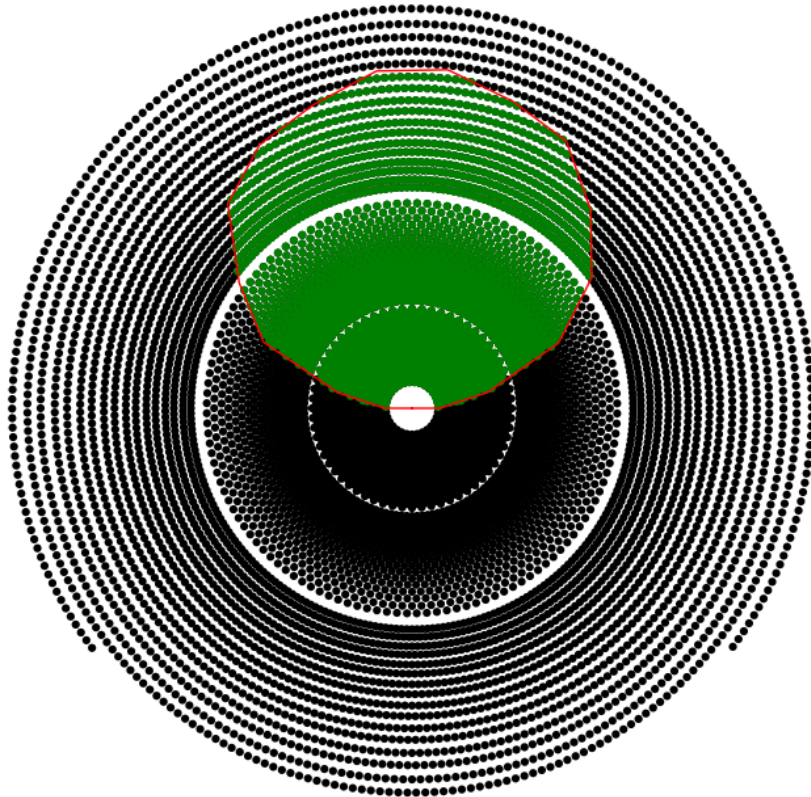


# Result discussion

## Ourazate: selected fields

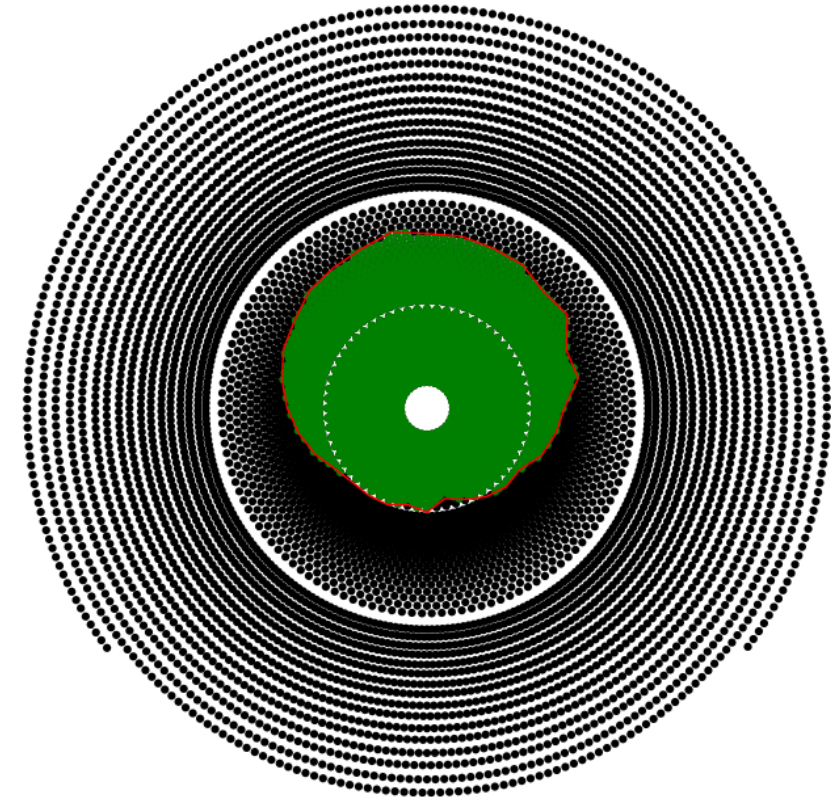
Cavity

Generation 500



External

Generation 500

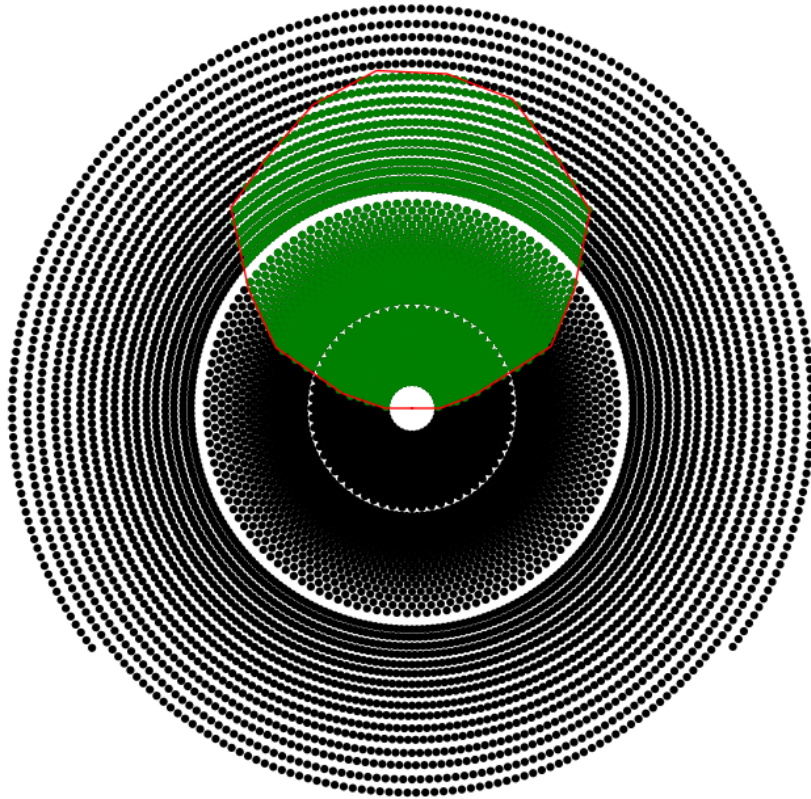


# Result discussion

## Dunhuang: selected fields

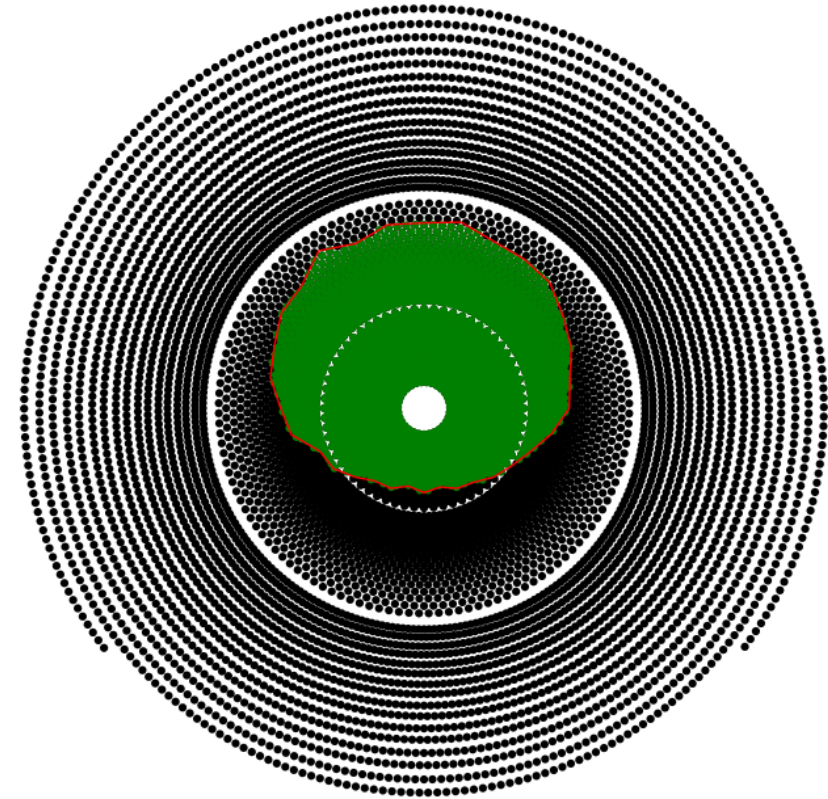
Cavity

Generation 500



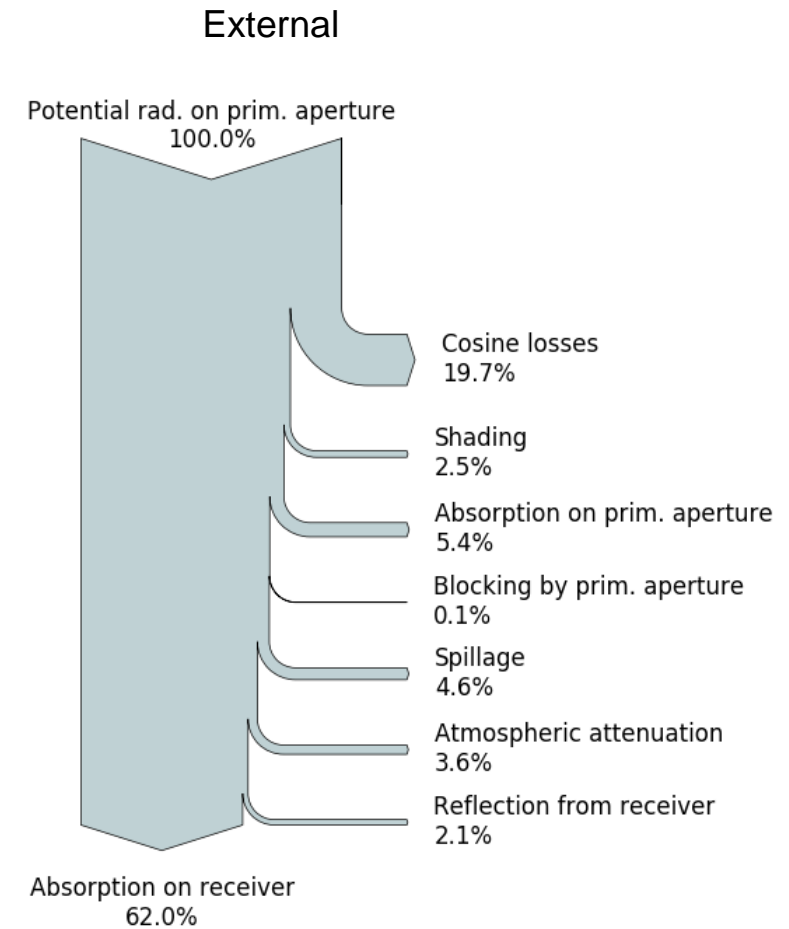
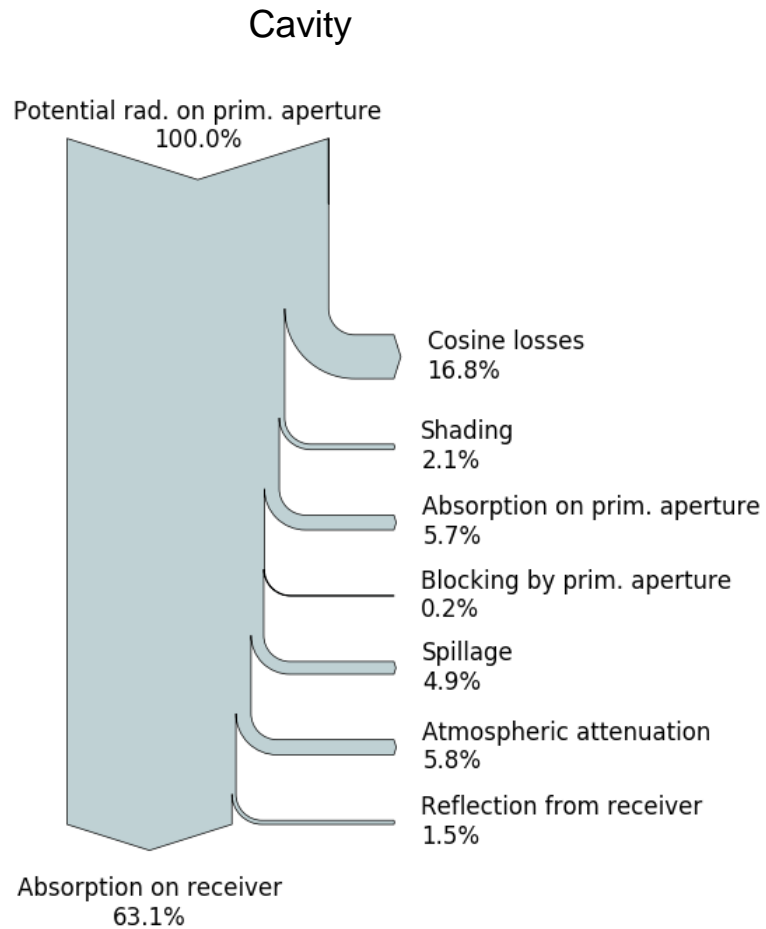
External

Generation 500



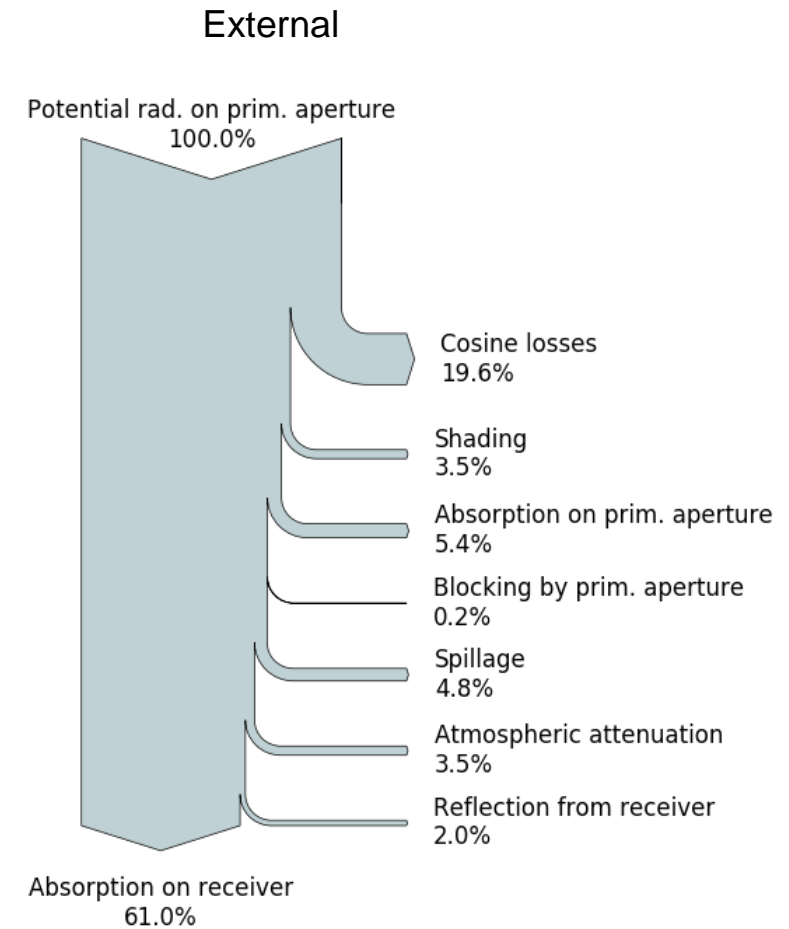
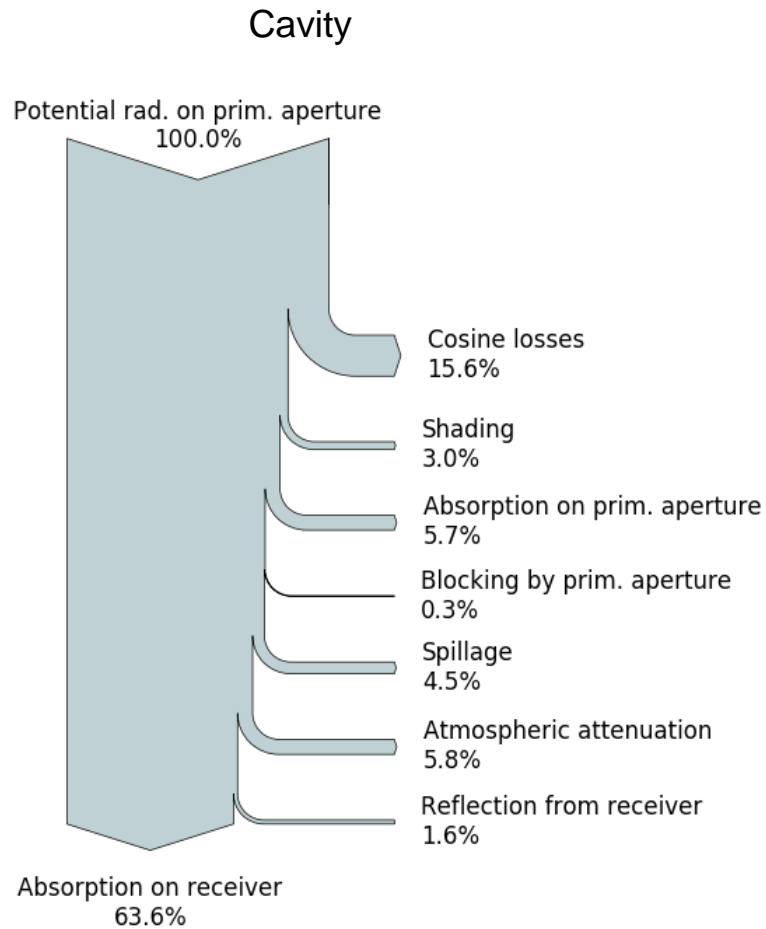
# Result discussion

## Dubai: optical losses



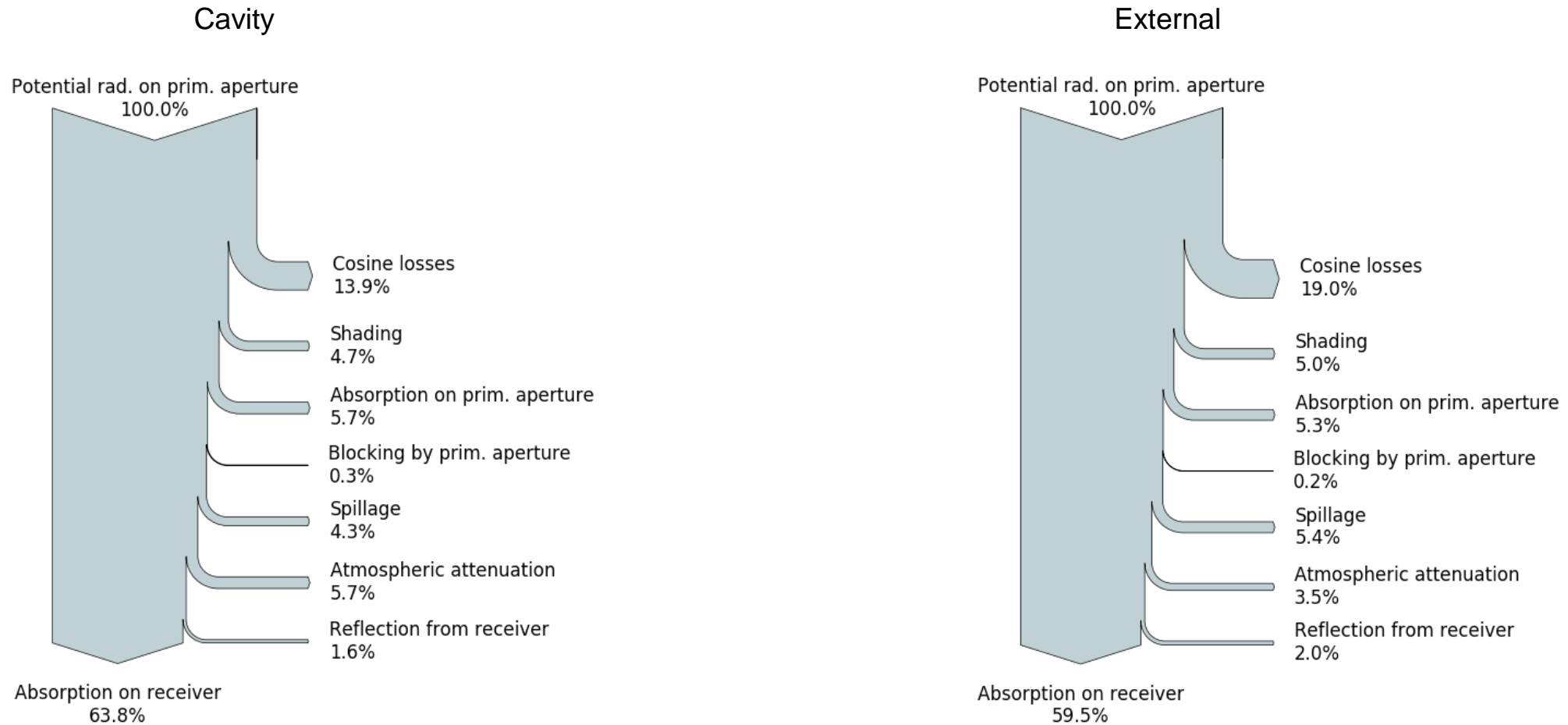
# Result discussion

## Ouarzazate: optical losses



# Result discussion

## Dunhuang: optical losses

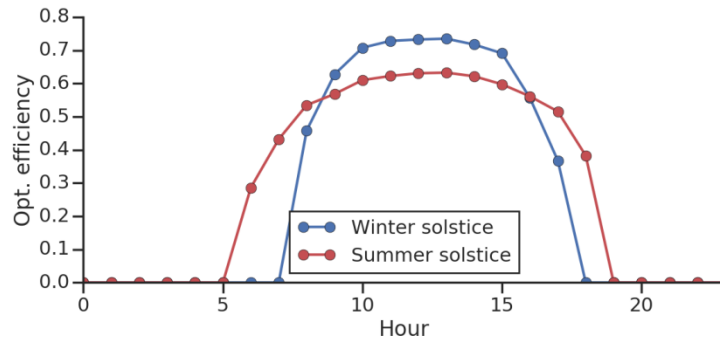


# Result discussion

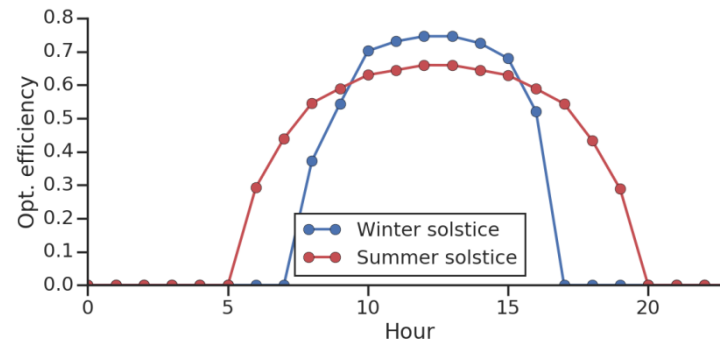
## Summer/winter solstice

Cavity

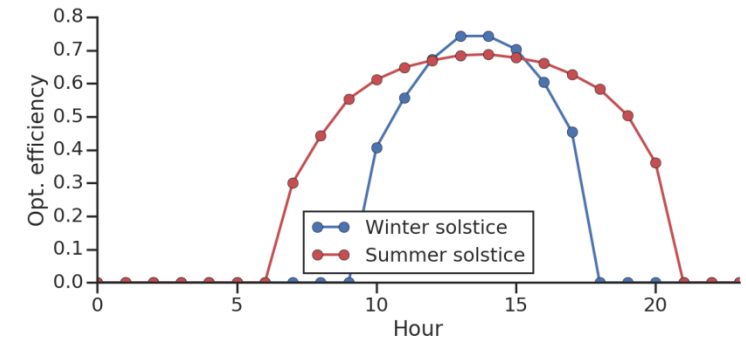
### Dubai



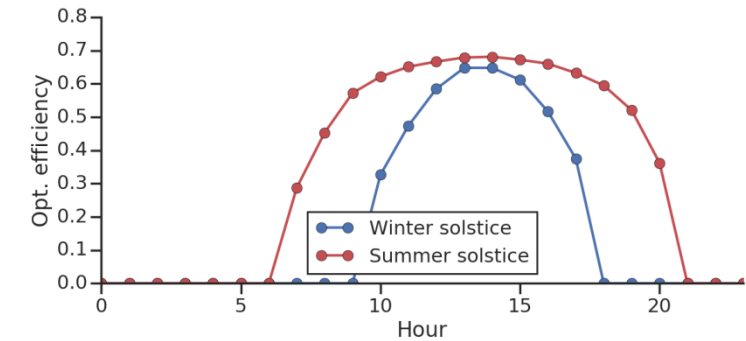
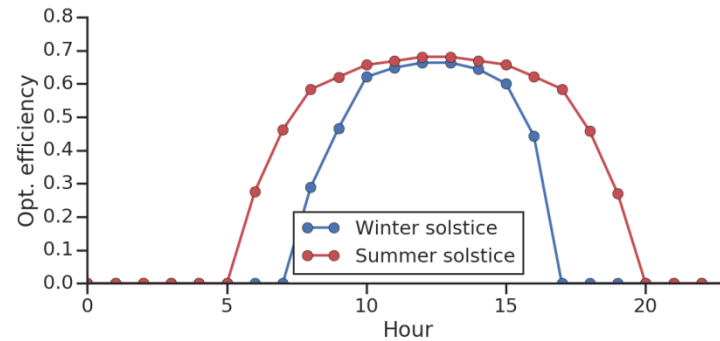
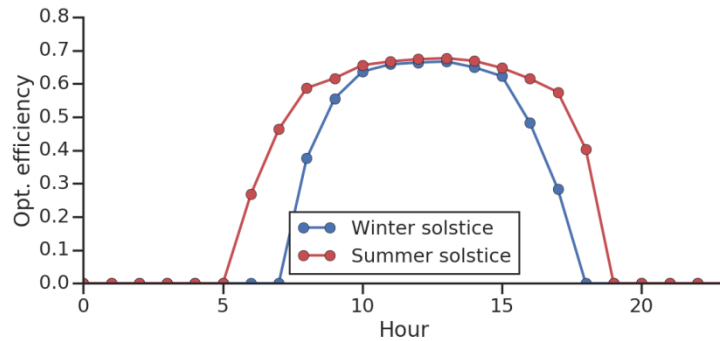
### Ouarzazate



### Dunhuang



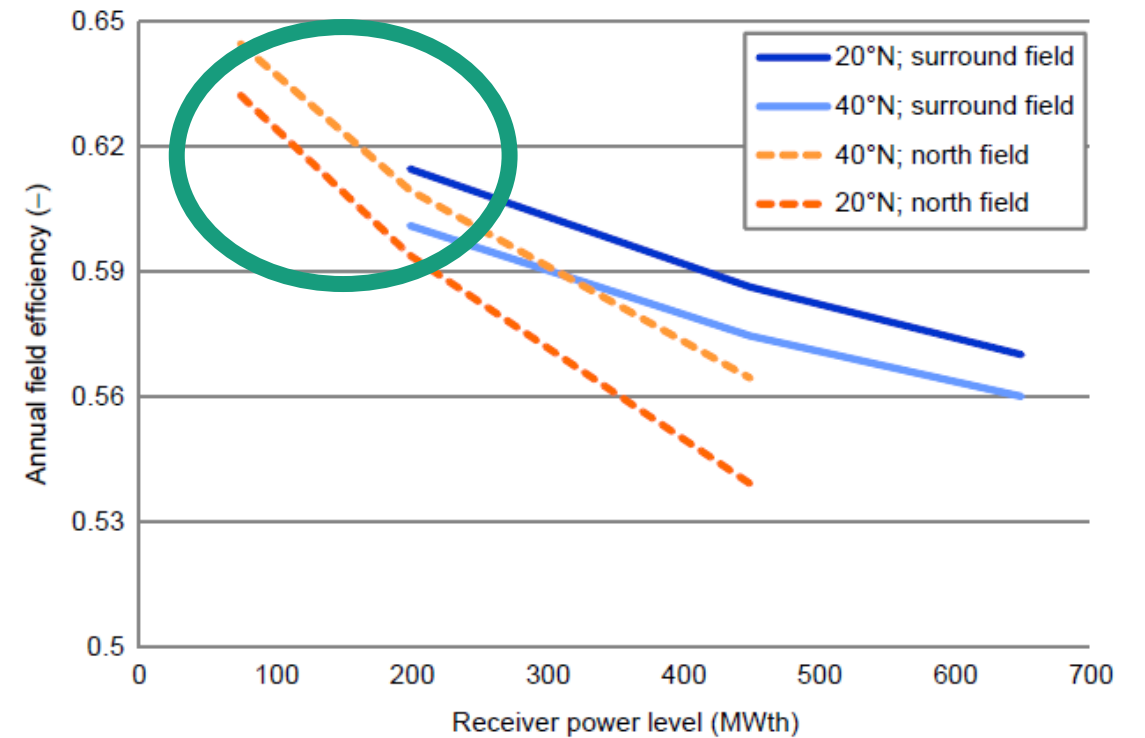
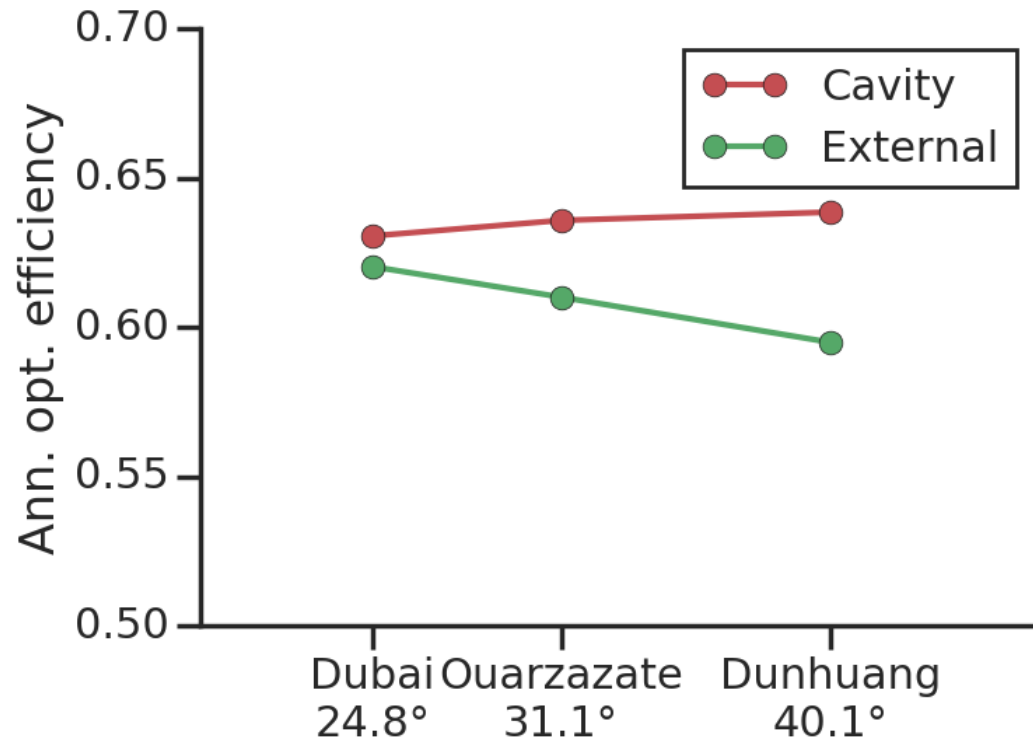
External





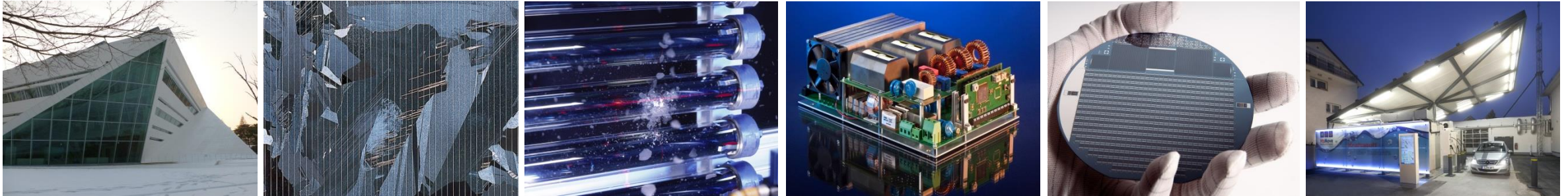
# Result discussion

## Annual optical efficiency



Source: R. Buck and P. Schwarzbözl, "4.17 Solar Tower Systems," in *Comprehensive Energy Systems*: Elsevier, 2018, pp. 692–732.

# Thank you for your Attention!



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